

PointNet and PointNet++

Date 2021-01-19

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UNIVERSITY OF
TORONTO

Impact

2017



Now

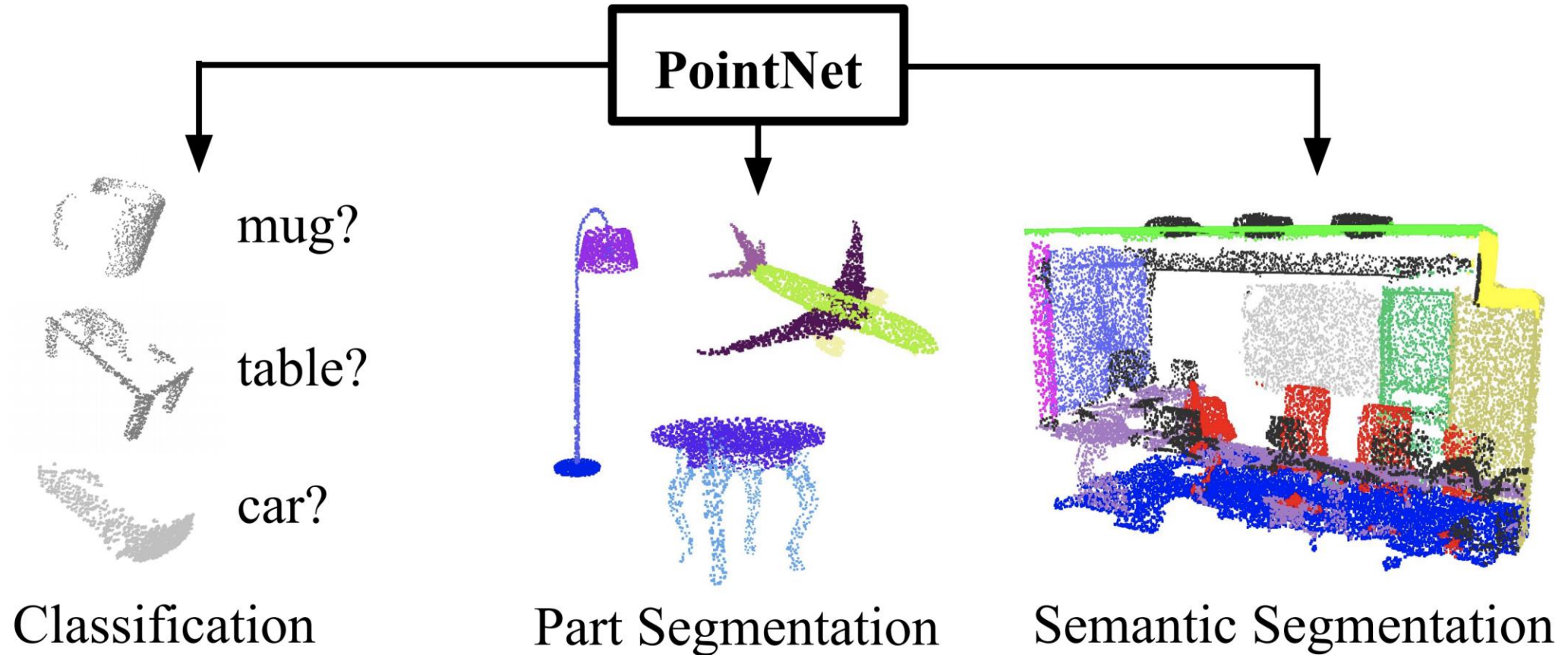
Abstract

Point cloud is an important type of geometric data structure. Due to its irregular format, most researchers transform such data to regular 3D voxel grids or collections of images. This, however, renders data unnecessarily voluminous and causes issues. In this paper, we design a novel type of neural network that directly consumes point

Point clouds are ubiquitous.

Often processed directly without converting to other data types.

Applications



Structure

- 3 properties of point sets
 - Unordered
 - "Interaction among points"
 - Invariance under transformations



- 3 architecture choices
 - Max pooling
 - Global-local feature concatenation
 - T-Nets

Structure

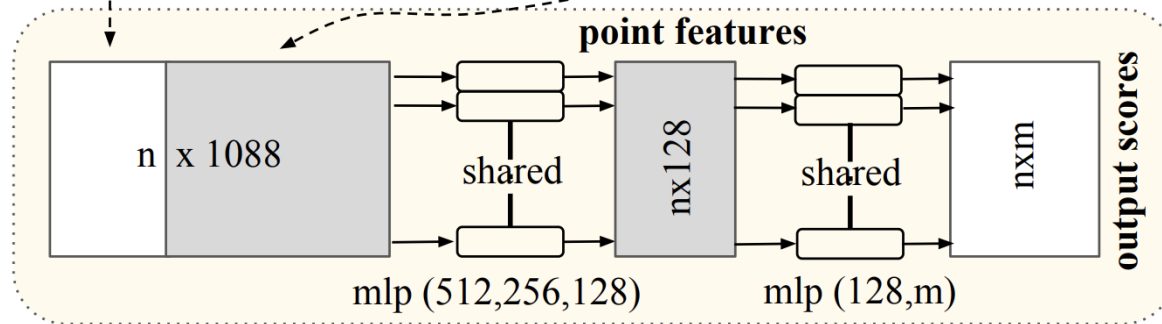
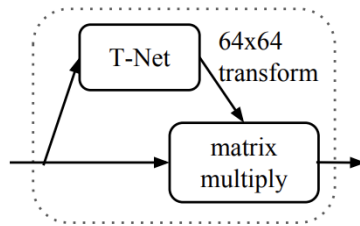
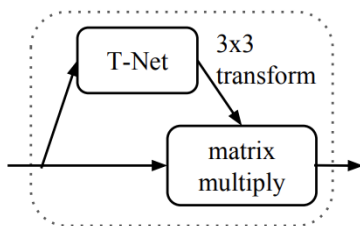
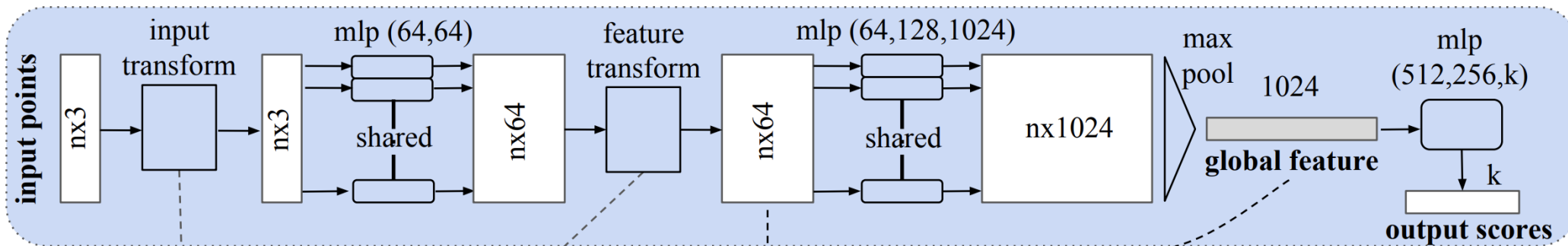
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- 3 architecture choices
 - Max pooling
 - Global-local feature concatenation
 - T-Nets

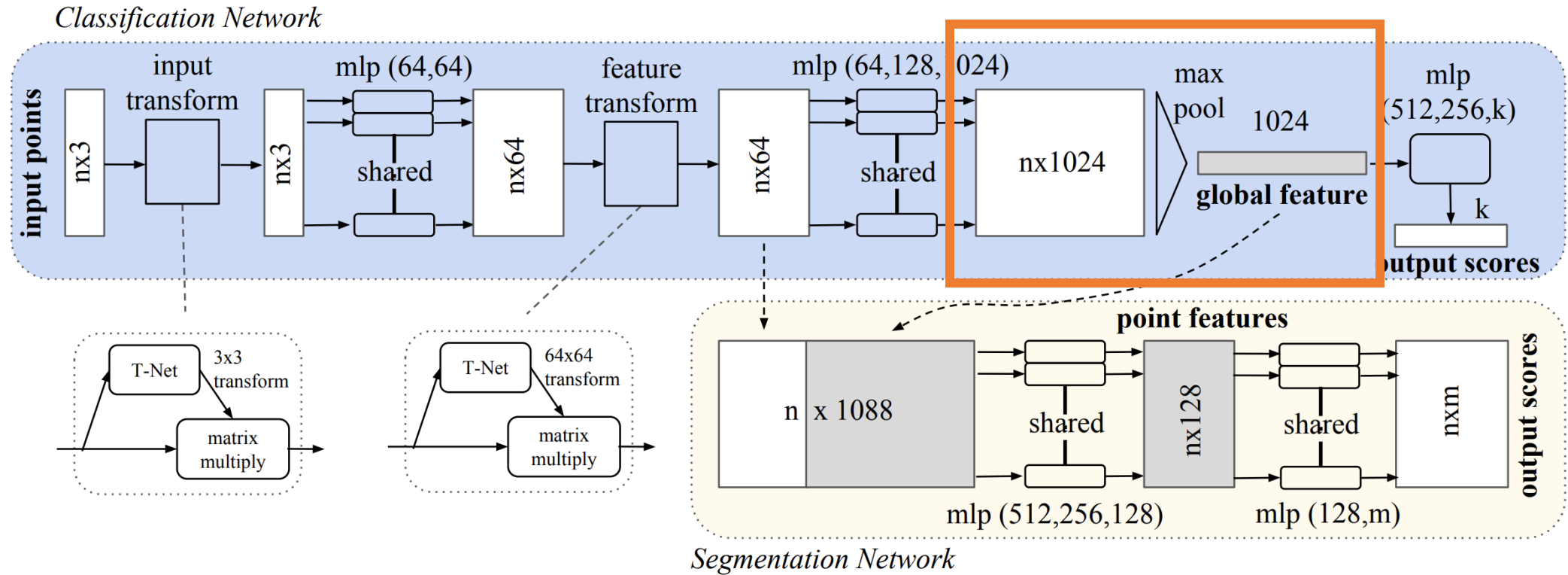
I believe this one is inadequately addressed leading directly to the follow up PointNet++.

Classification Network

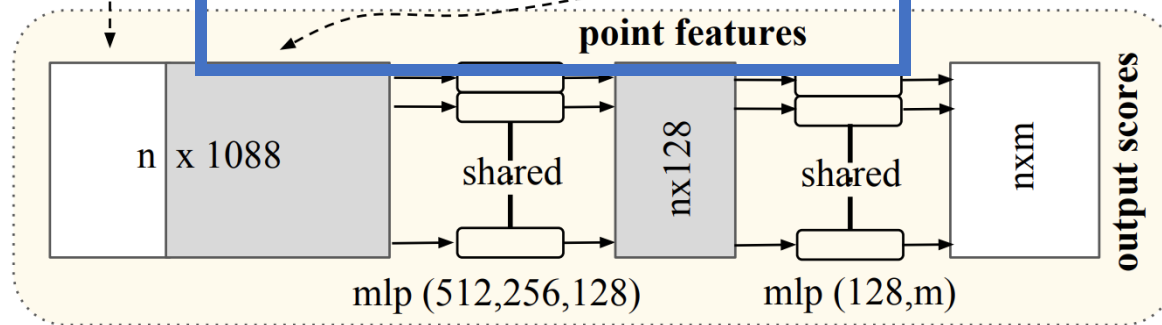
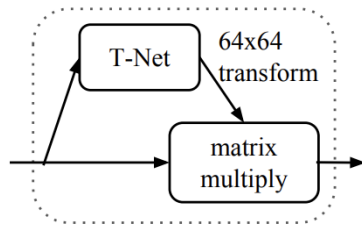
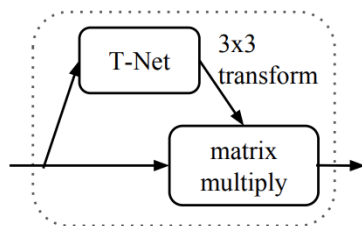
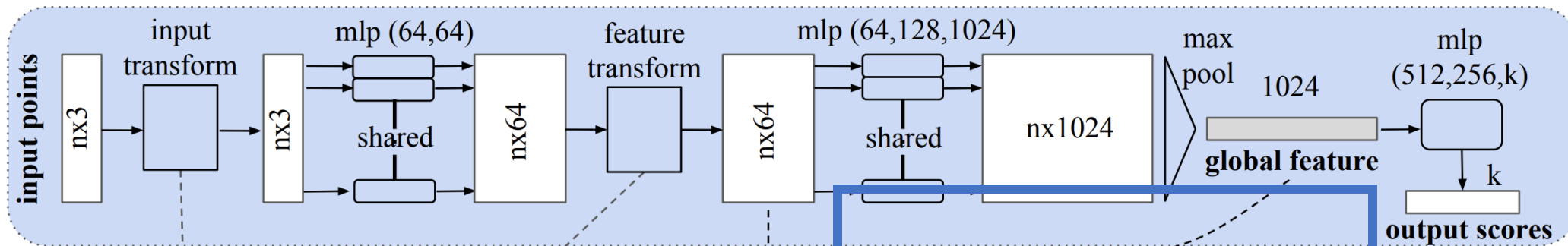


Segmentation Network

Max pooling gives order invariance



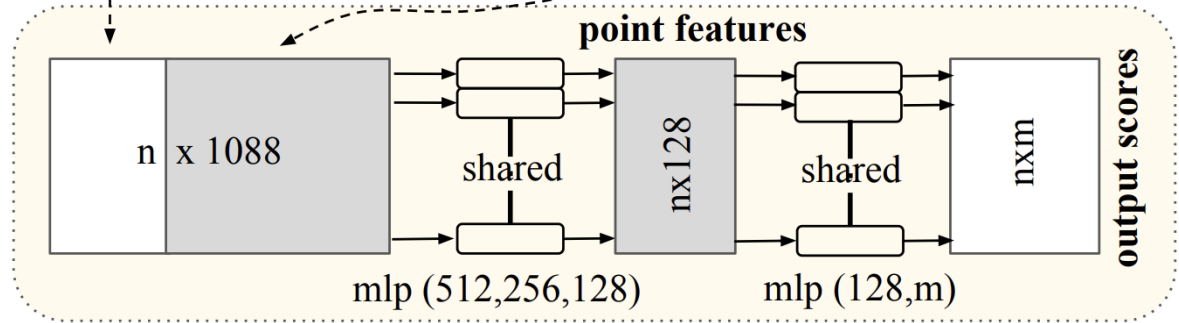
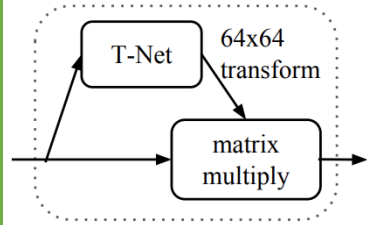
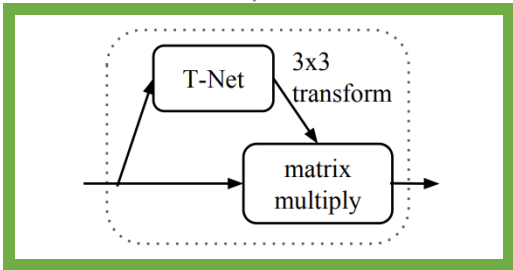
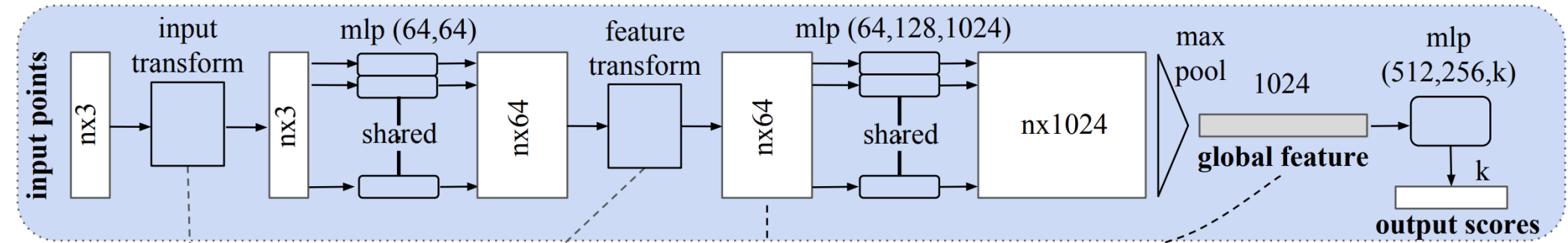
Classification Network



Segmentation Network

Concatenating global-to-local features combines local + global info

Classification Network



Segmentation Network

T-Nets gives invariances by transforming to canonical pose

Property #1: Unordered

- Point sets are unordered, so point set representations should be invariant to input ordering
- Three ways to achieve this
 - 1) Sort to a canonical order
 - 2) Use an RNN and augment training with random orders
 - 3) Use a symmetric function

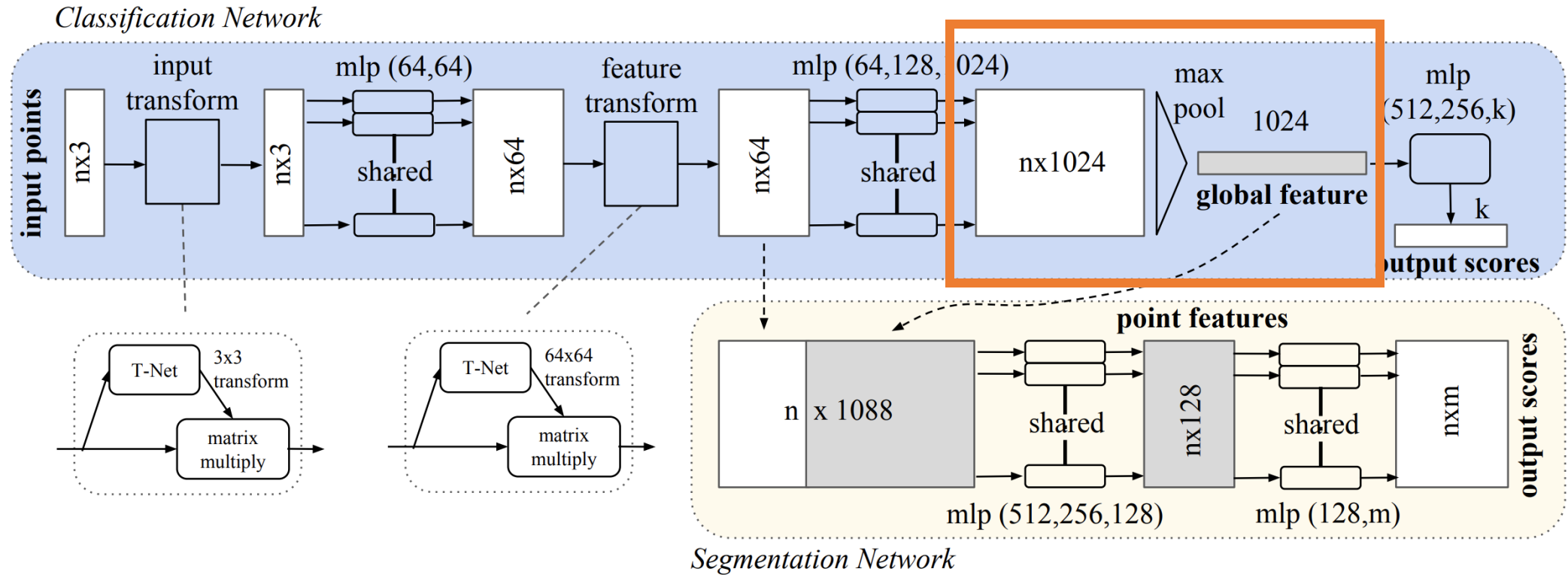
Property #1: Unordered

Our idea is to approximate a general function defined on a point set by applying a symmetric function on transformed elements in the set:

$$f(\{x_1, \dots, x_n\}) \approx g(h(x_1), \dots, h(x_n)), \quad (1)$$

where $f : 2^{\mathbb{R}^N} \rightarrow \mathbb{R}$, $h : \mathbb{R}^N \rightarrow \mathbb{R}^K$ and $g : \underbrace{\mathbb{R}^K \times \dots \times \mathbb{R}^K}_n \rightarrow \mathbb{R}$ is a symmetric function.

Max pooling gives order invariance



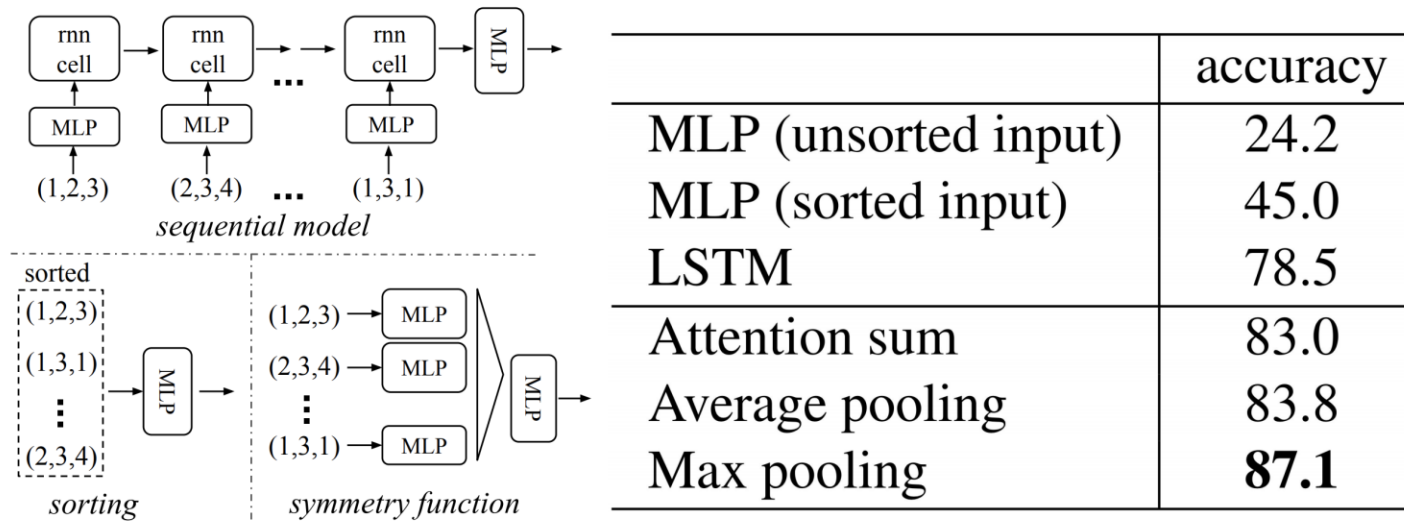
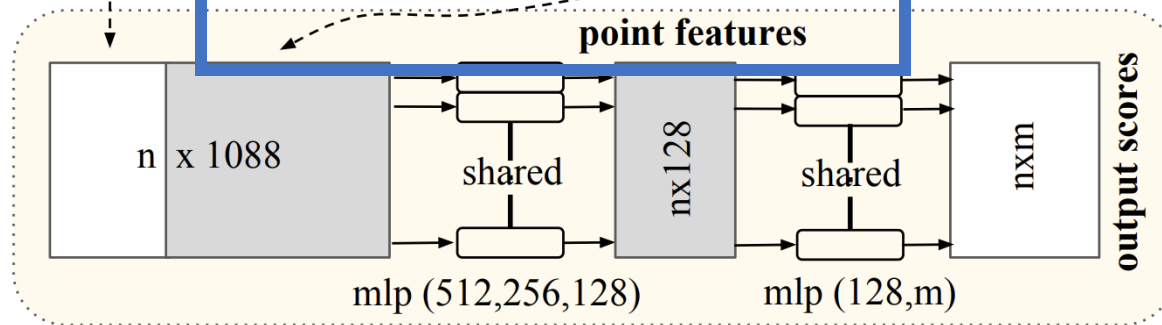
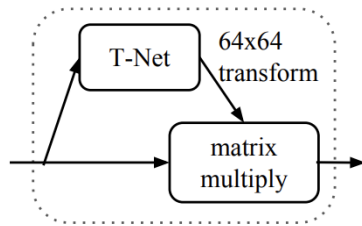
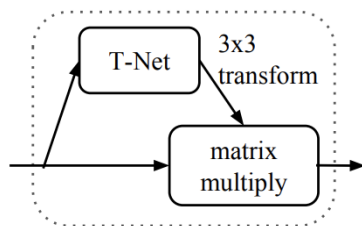
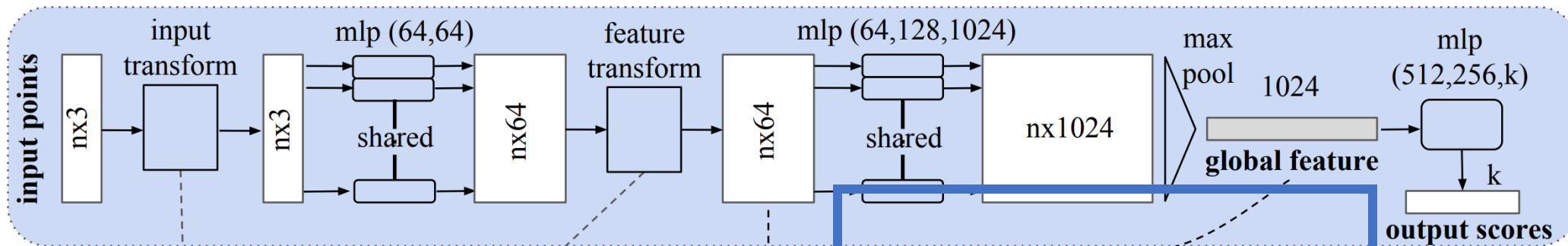


Figure 5. Three approaches to achieve order invariance. Multi-layer perceptron (MLP) applied on points consists of 5 hidden layers with neuron sizes 64,64,64,128,1024, all points share a single copy of MLP. The MLP close to the output consists of two layers with sizes 512,256.

Property #2: Interaction between points

- Points are in a metric space
- There are meaningful local neighborhoods of points
- Many local prediction tasks (e.g. normal prediction, segmentation) require summarizing information in a local neighborhood
- How to get local features that take global context into account?
- Solution: Append global features to local features

Classification Network



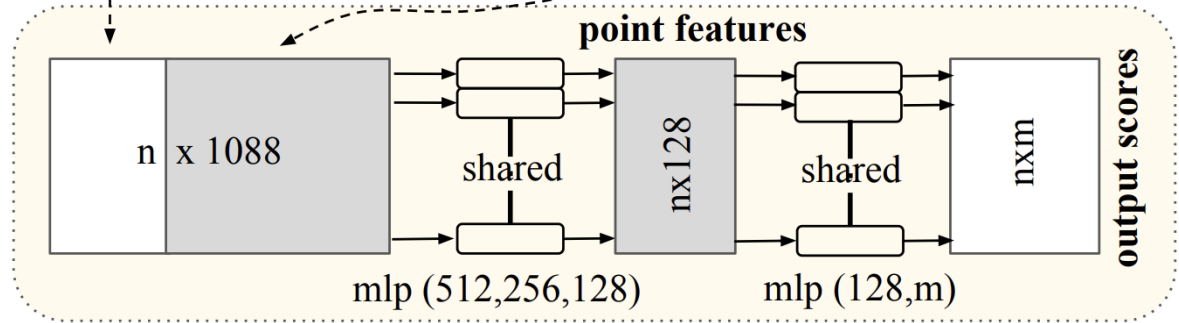
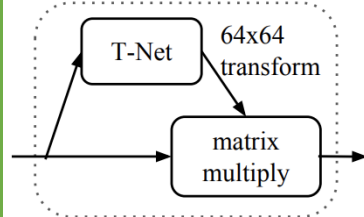
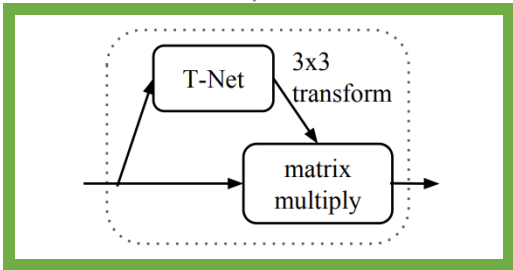
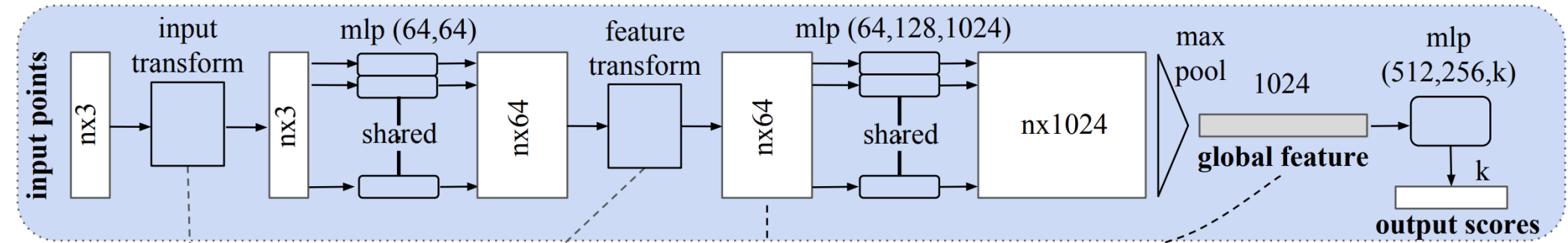
Segmentation Network

Concatenating global-to-local features combines local + global info

Property #3: Invariance under transformations

- Changing pose does not change object identity
- So representations should be invariant to e.g. rigid pose transformations (like translation, rotations)

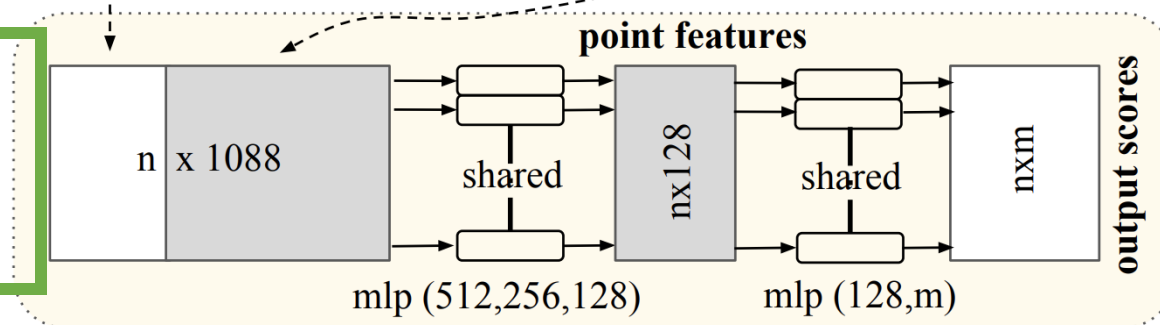
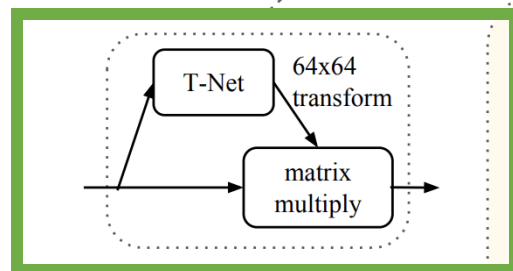
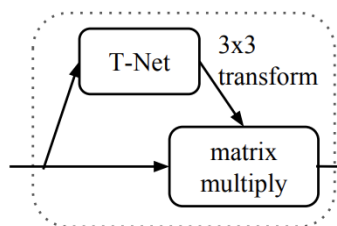
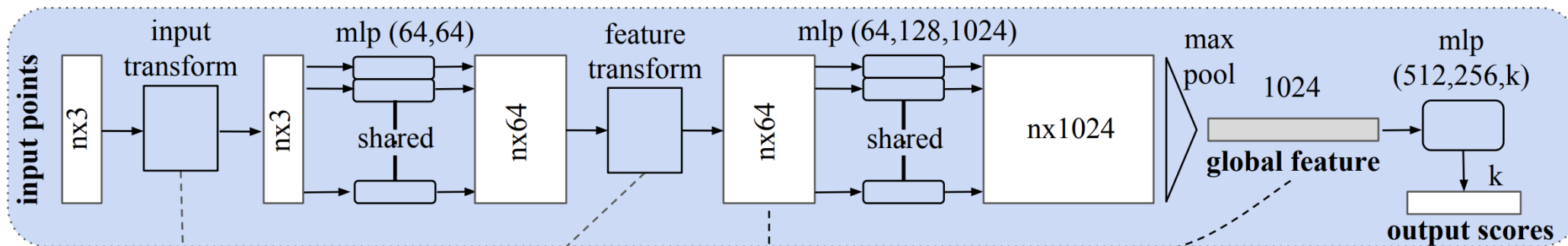
Classification Network



Segmentation Network

T-Nets gives invariances by transforming to canonical pose

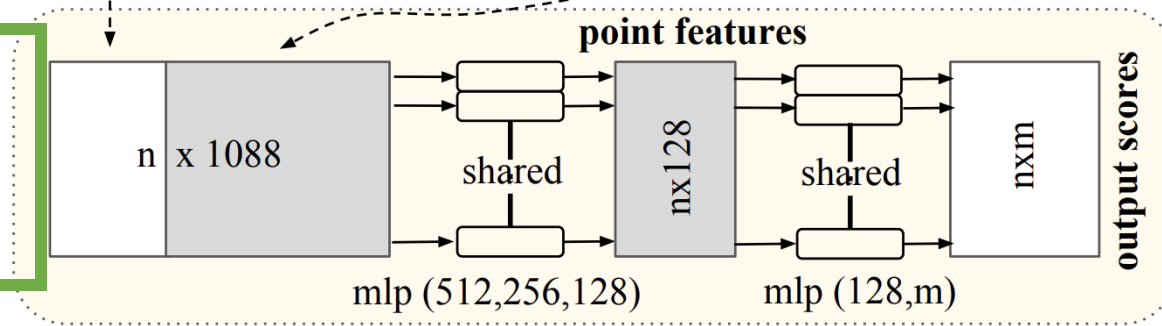
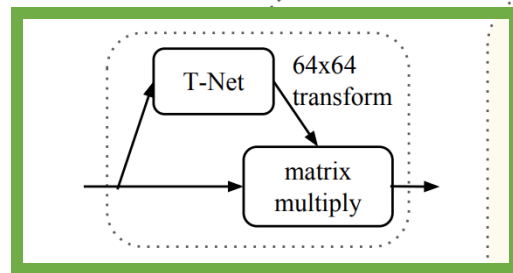
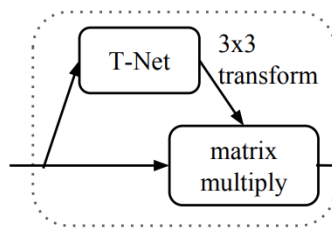
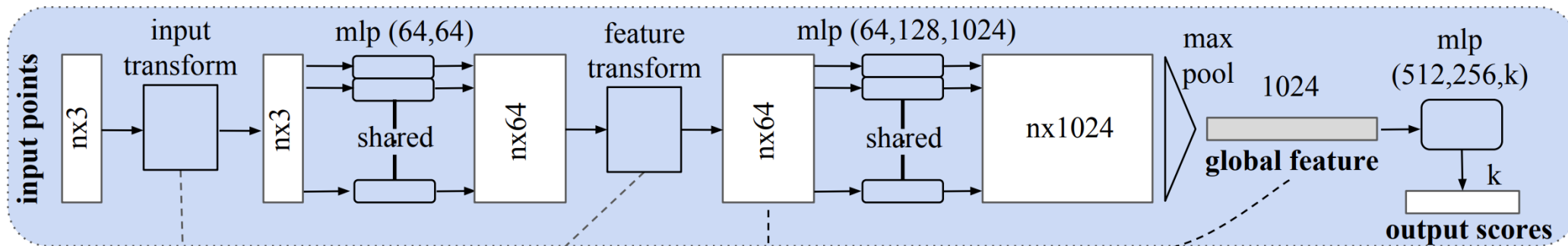
Classification Network



Segmentation Network

But what is this second one doing?

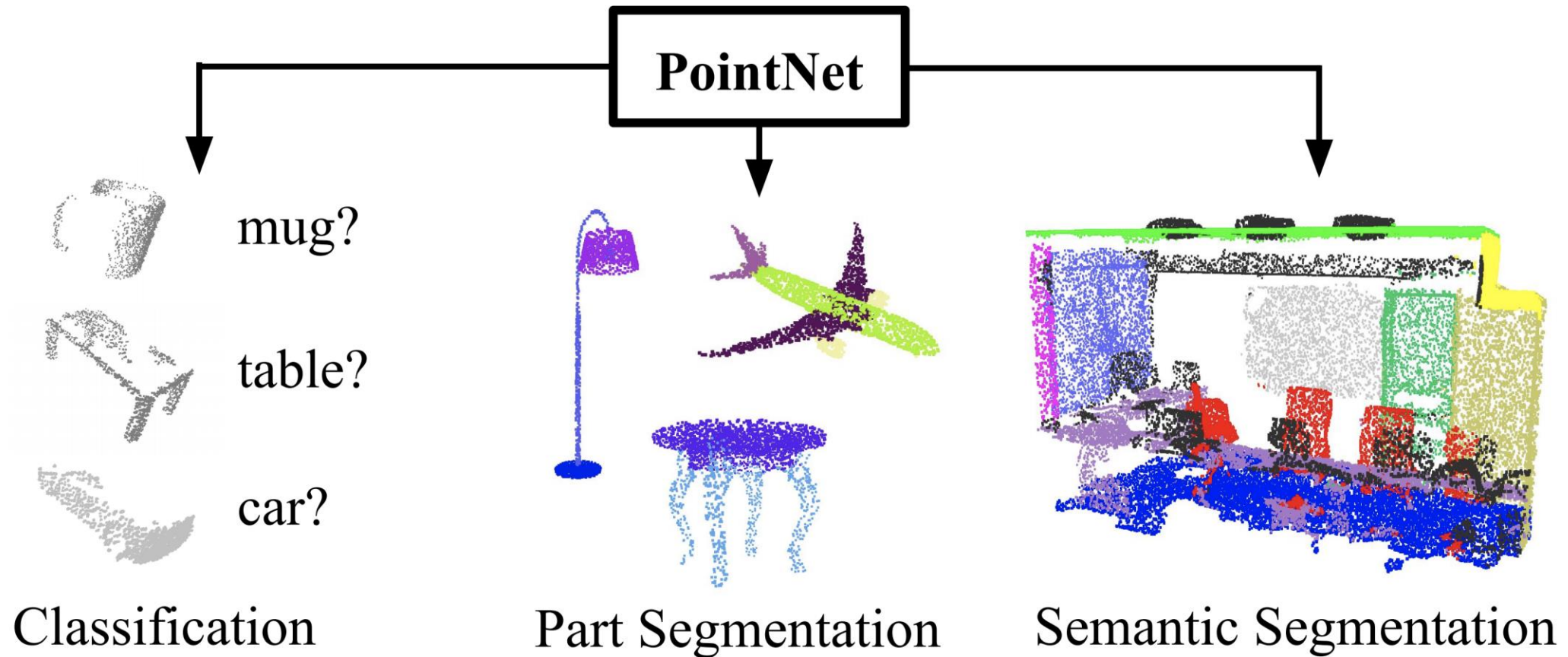
Classification Network



Segmentation Network

But what is this second one doing? "Feature alignment"

Results on 3 Applications



Classification

| | input | #views | accuracy avg. class | accuracy overall |
|------------------|--------|--------|------------------------|---------------------|
| SPH [11] | mesh | - | 68.2 | - |
| 3DShapeNets [28] | volume | 1 | 77.3 | 84.7 |
| VoxNet [17] | volume | 12 | 83.0 | 85.9 |
| Subvolume [18] | volume | 20 | 86.0 | 89.2 |
| LFD [28] | image | 10 | 75.5 | - |
| MVCNN [23] | image | 80 | 90.1 | - |
| Ours baseline | point | - | 72.6 | 77.4 |
| Ours PointNet | point | 1 | 86.2 | 89.2 |

Table 1. **Classification results on ModelNet40.** Our net achieves state-of-the-art among deep nets on 3D input.

ShapeNet Segmentation

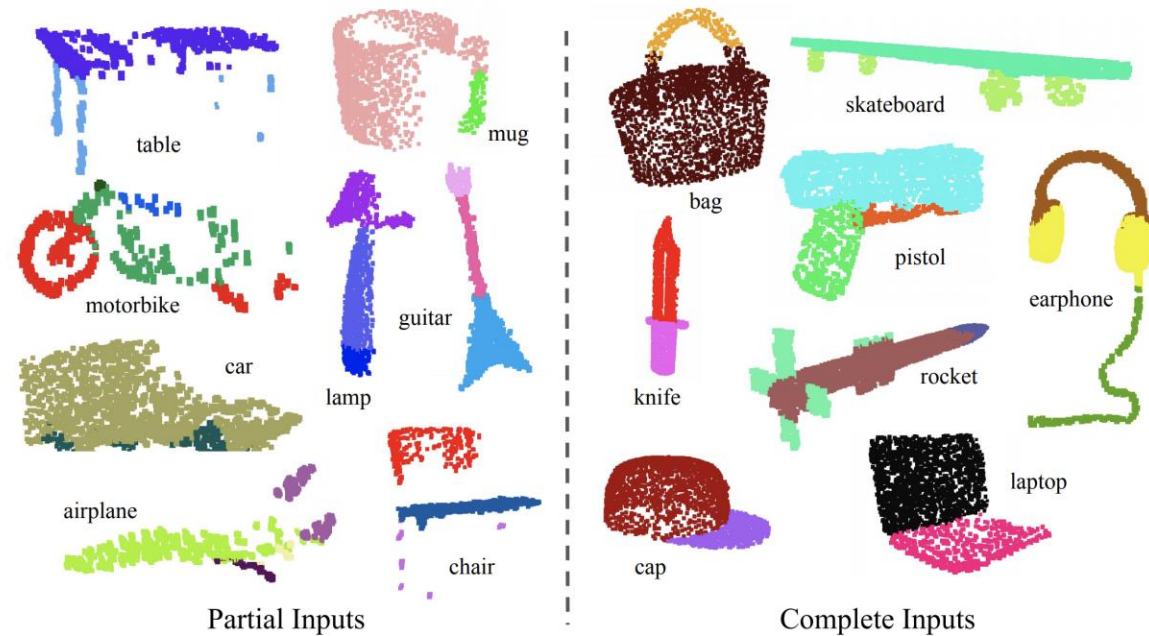


Figure 3. **Qualitative results for part segmentation.** We visualize the CAD part segmentation results across all 16 object categories. We show both results for partial simulated Kinect scans (left block) and complete ShapeNet CAD models (right block).

ShapeNet Segmentation

| | mean | aero | bag | cap | car | chair | ear phone | guitar | knife | lamp | laptop | motor | mug | pistol | rocket | skate board | table |
|----------|-------------|-------------|-------------|-------------|-------------|-------------|--------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|----------------|-------------|
| # shapes | | 2690 | 76 | 55 | 898 | 3758 | 69 | 787 | 392 | 1547 | 451 | 202 | 184 | 283 | 66 | 152 | 5271 |
| Wu [27] | - | 63.2 | - | - | - | 73.5 | - | - | - | 74.4 | - | - | - | - | - | - | 74.8 |
| Yi [29] | 81.4 | 81.0 | 78.4 | 77.7 | 75.7 | 87.6 | 61.9 | 92.0 | 85.4 | 82.5 | 95.7 | 70.6 | 91.9 | 85.9 | 53.1 | 69.8 | 75.3 |
| 3DCNN | 79.4 | 75.1 | 72.8 | 73.3 | 70.0 | 87.2 | 63.5 | 88.4 | 79.6 | 74.4 | 93.9 | 58.7 | 91.8 | 76.4 | 51.2 | 65.3 | 77.1 |
| Ours | 83.7 | 83.4 | 78.7 | 82.5 | 74.9 | 89.6 | 73.0 | 91.5 | 85.9 | 80.8 | 95.3 | 65.2 | 93.0 | 81.2 | 57.9 | 72.8 | 80.6 |

Table 2. **Segmentation results on ShapeNet part dataset.** Metric is mIoU(%) on points. We compare with two traditional methods [27] and [29] and a 3D fully convolutional network baseline proposed by us. Our PointNet method achieved the state-of-the-art in mIoU.

Scene Segmentation

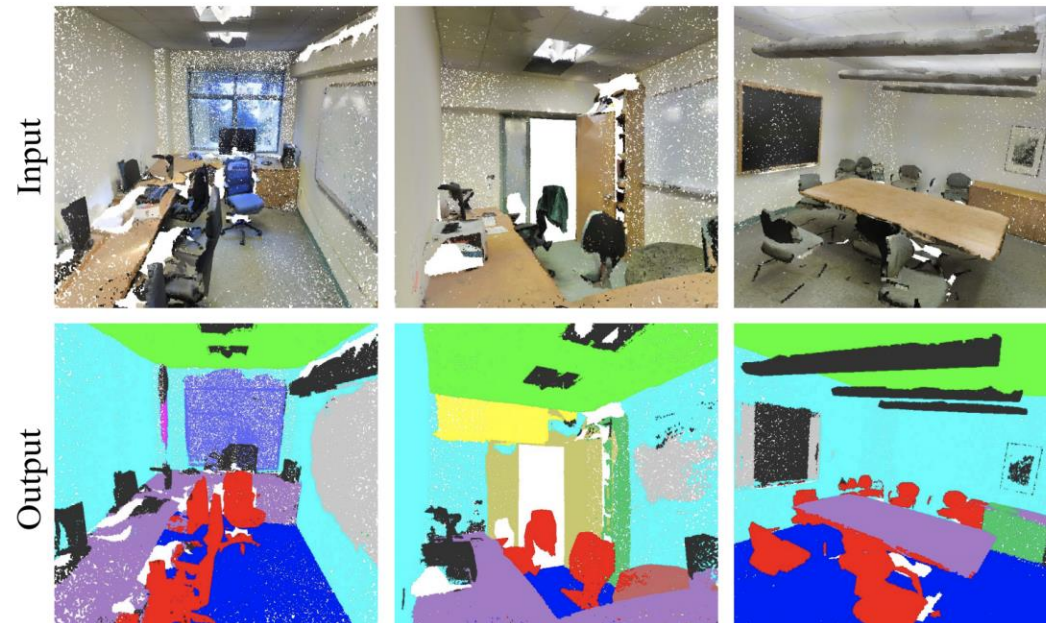


Figure 4. **Qualitative results for semantic segmentation.** Top row is input point cloud with color. Bottom row is output semantic segmentation result (on points) displayed in the same camera viewpoint as input.

Scene Segmentation

| | mean IoU | overall accuracy |
|---------------|--------------|------------------|
| Ours baseline | 20.12 | 53.19 |
| Ours PointNet | 47.71 | 78.62 |

Table 3. **Results on semantic segmentation in scenes.** Metric is average IoU over 13 classes (structural and furniture elements plus clutter) and classification accuracy calculated on points.

Scene Segmentation

| | mean IoU | overall accuracy |
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Table 3. **Results on semantic segmentation in scenes.** Metric is average IoU over 13 classes (structural and furniture elements plus clutter) and classification accuracy calculated on points.

Hand-crafted point features, not sure how convincing this is

Contribution

- New architecture for point clouds with
 - Order invariance
 - Transformation invariance
 - Ability to combine local and global info
- Strong results on ShapeNet segmentation, scene segmentation and classification
- Empirical results supporting choice of order invariance mechanism, transformation invariance mechanism

What's missing?

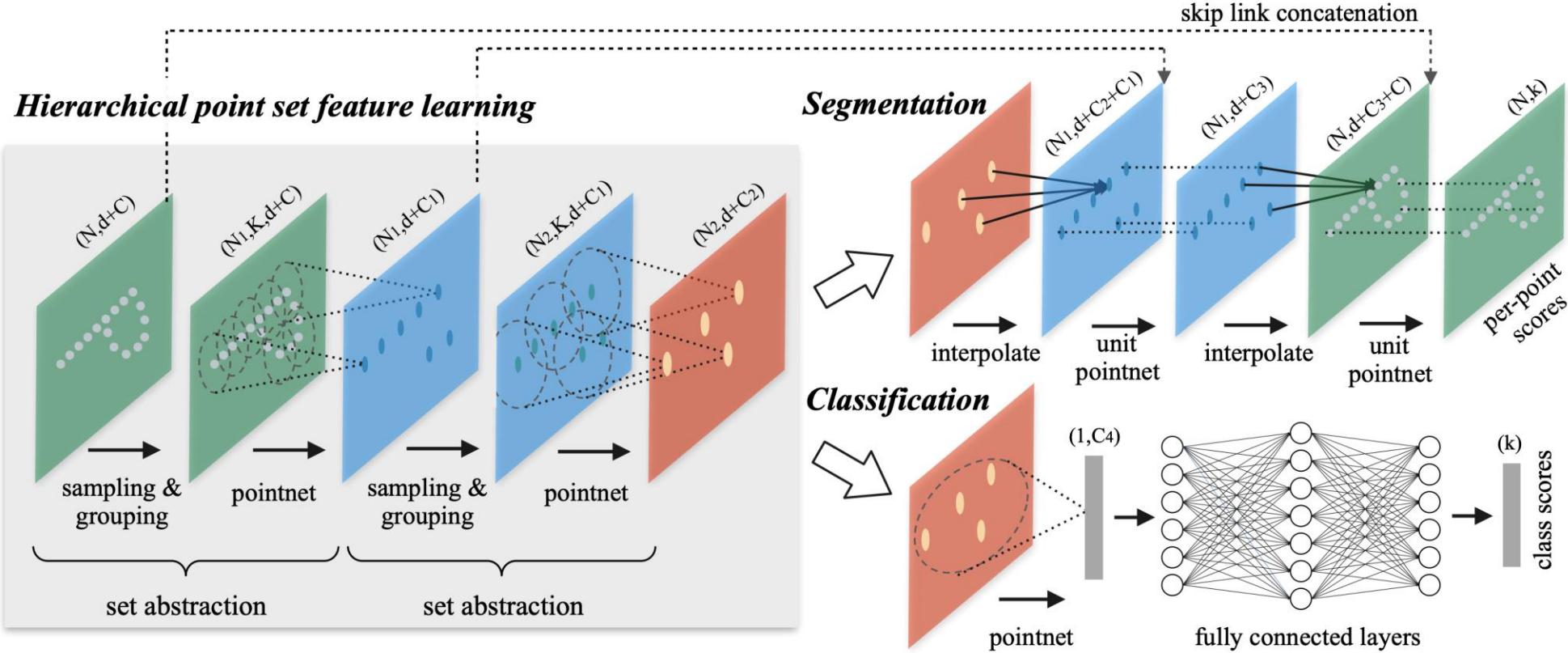
- Hierarchy!
- By analogy to 2D image processing:
 - Imagine calculating per pixel features, then a single round of global pooling
 - Loses a lot of information!
 - Point clouds don't have the same info (ordering, adjacency), but they do have distances which define local neighborhoods that aren't being used here

Enter: PointNet++

PointNet++: Deep Hierarchical Feature Learning on Point Sets in a Metric Space

Charles R. Qi Li Yi Hao Su Leonidas J. Guibas
Stanford University

Enter: PointNet++



Structure

Desired properties:  Architecture choices:

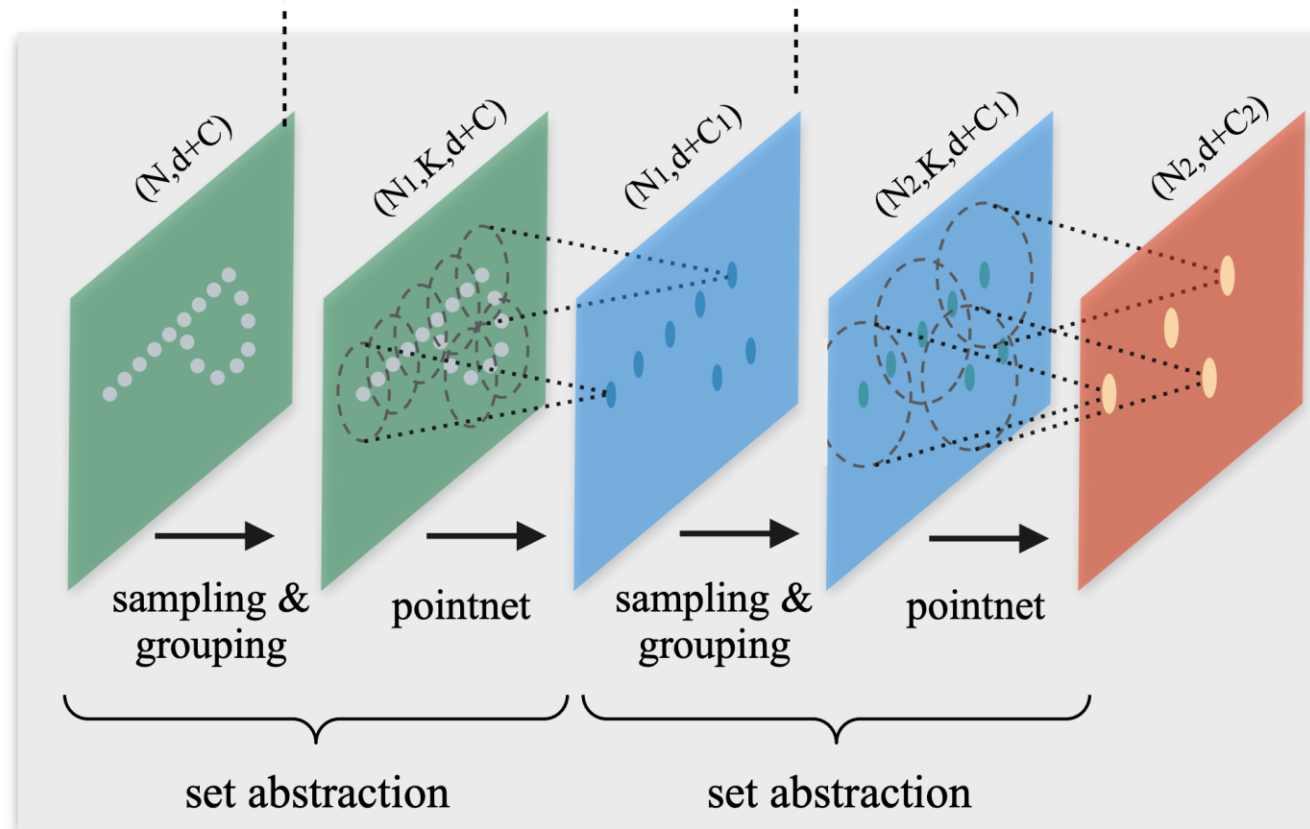
- 1) hierarchical feature learning
 - 2) robustness to density changes
 - 3) multi-scale information aggregation
(not just local+global)
- 1) set abstraction layers
 - 2) density-adaptive grouping
 - 3) distance-based interpolation

Hierarchical feature learning

- Idea: Apply PointNet recursively to nested partitions of points
 - Need a way to partition points
 - Need stackable layers for recursion (output type = input type)
- Set abstraction layer
 - Sampling: Select centroids with iterative farthest point
 - Grouping: Select group of points for each centroid (KNN or ball-query)
 - PointNet: Run PointNet on each group

Hierarchical feature learning

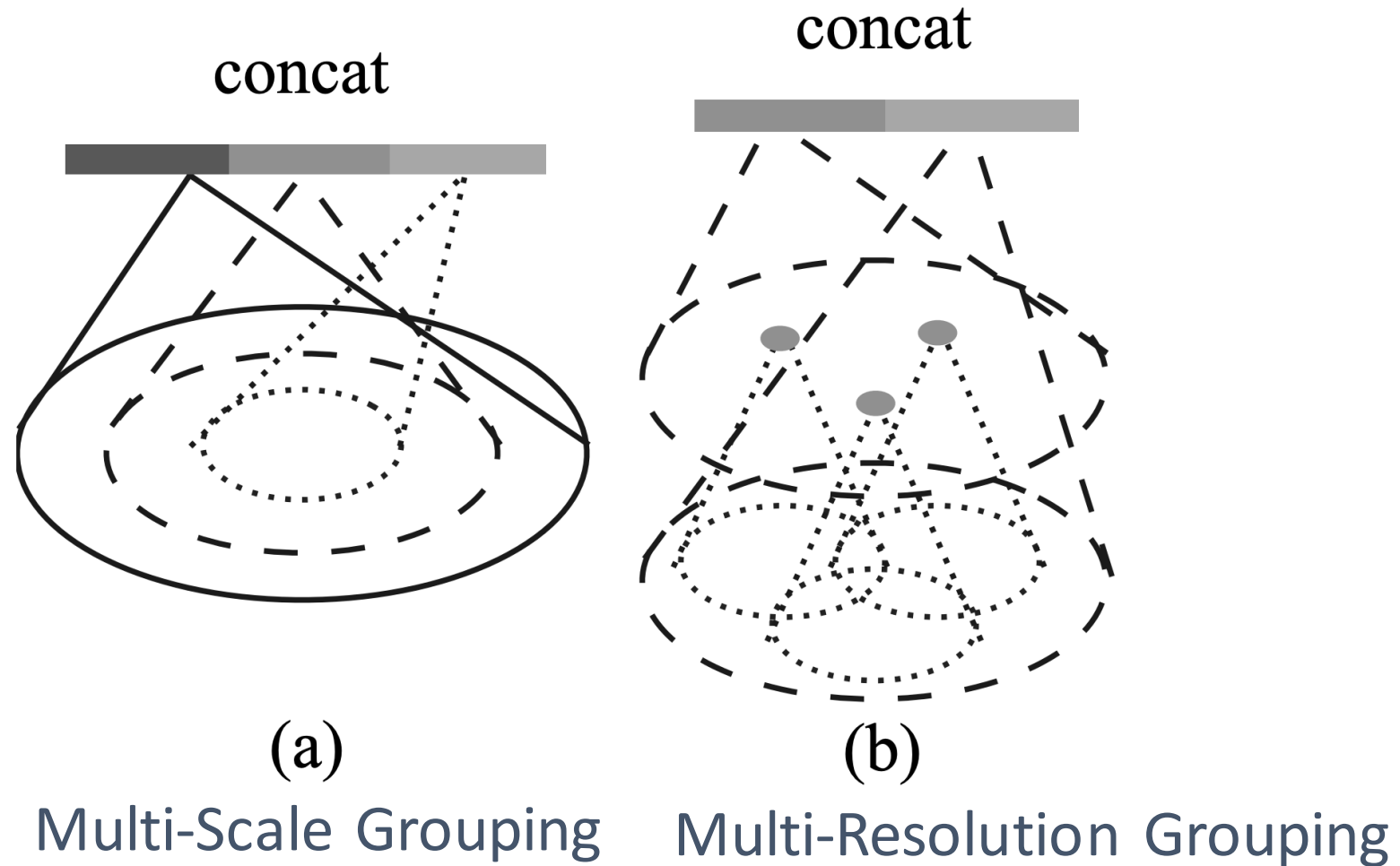
Hierarchical point set feature learning



Robustness to density

- Idea: Adaptively group based on density
 - In high density areas, group tightly (to avoid losing detail)
 - In low density areas, group widely (or won't have sufficient info)
- Adaptive density-grouping
 - Sampling: Select centroids with iterative farthest point
 - Grouping: Select group of points for each centroid (KNN or ball-query)
 - PointNet: Run PointNet on each group

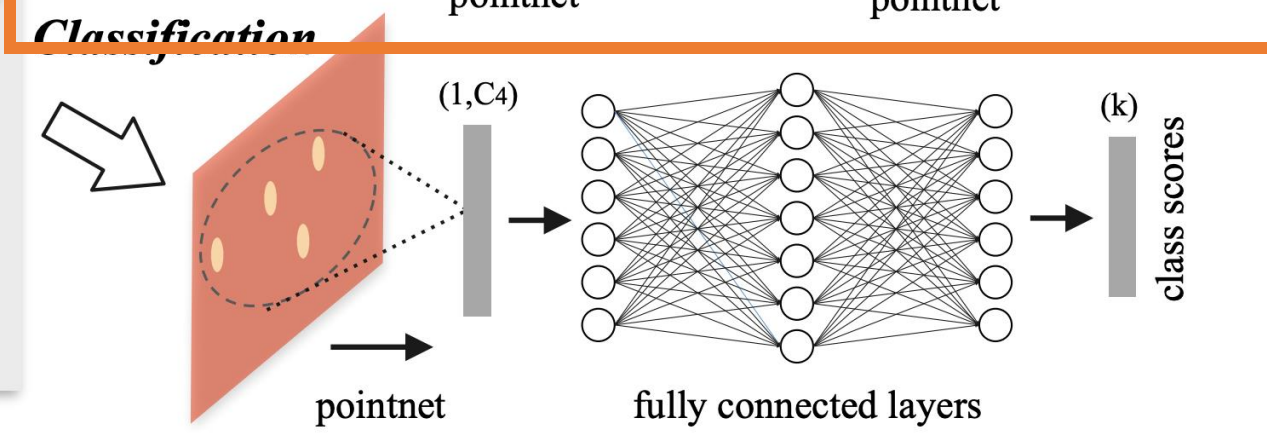
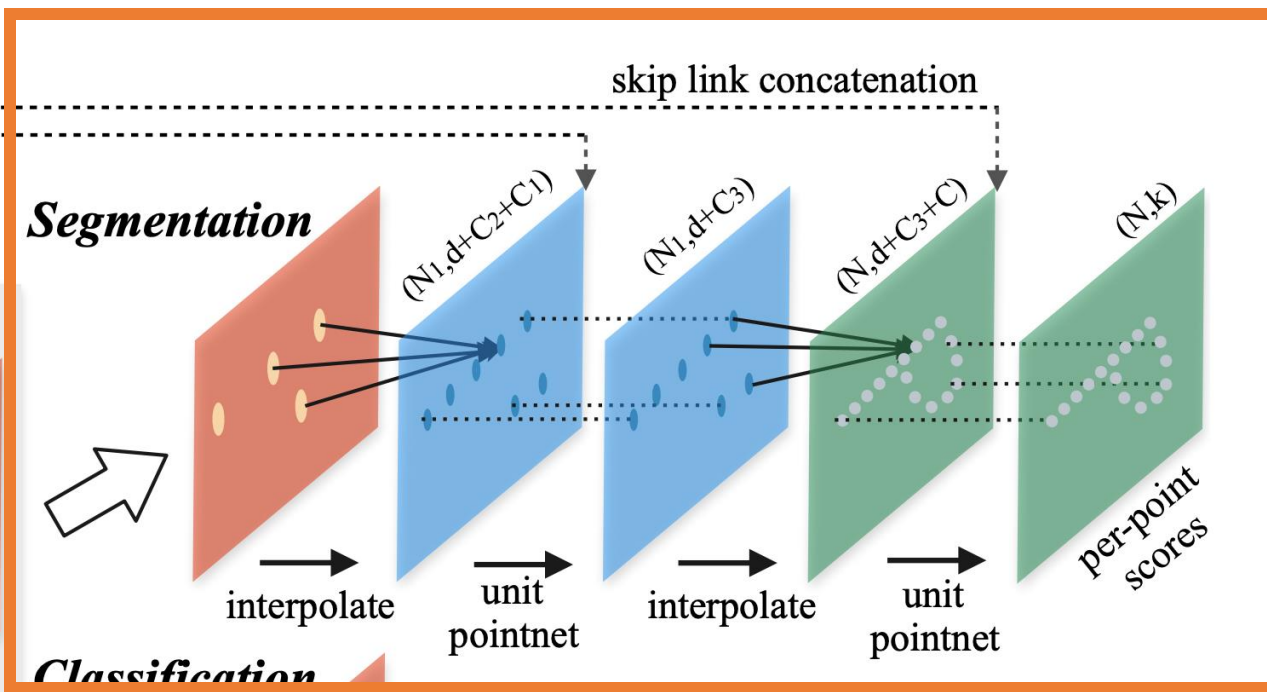
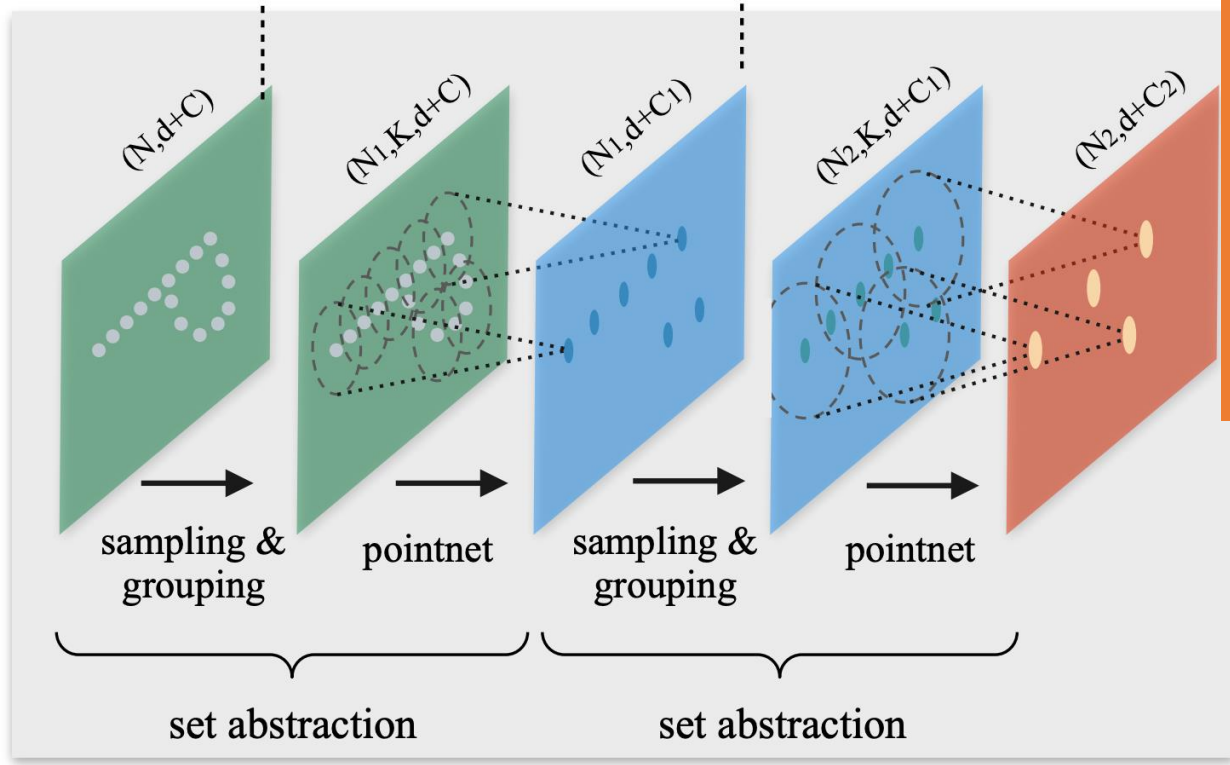
Robustness to density



Multi-scale information aggregation

- For segmentation, normal prediction, need point features that incorporate global/neighborhood context
- Could just append local+global, but want to exploit hierarchy
- Idea: Distance-based interpolation
 - Interpolate higher layer features and append to points in lower layer

Hierarchical point set feature learning



Results: MNIST classification

| Method | Error rate (%) |
|-----------------------------|----------------|
| Multi-layer perceptron [24] | 1.60 |
| LeNet5 [11] | 0.80 |
| Network in Network [13] | 0.47 |
| PointNet (vanilla) [20] | 1.30 |
| PointNet [20] | 0.78 |
| Ours | 0.51 |

Table 1: MNIST digit classification.

Note: comparing methods which take (2D) point sets to methods that take image inputs. Used 512 2D points.

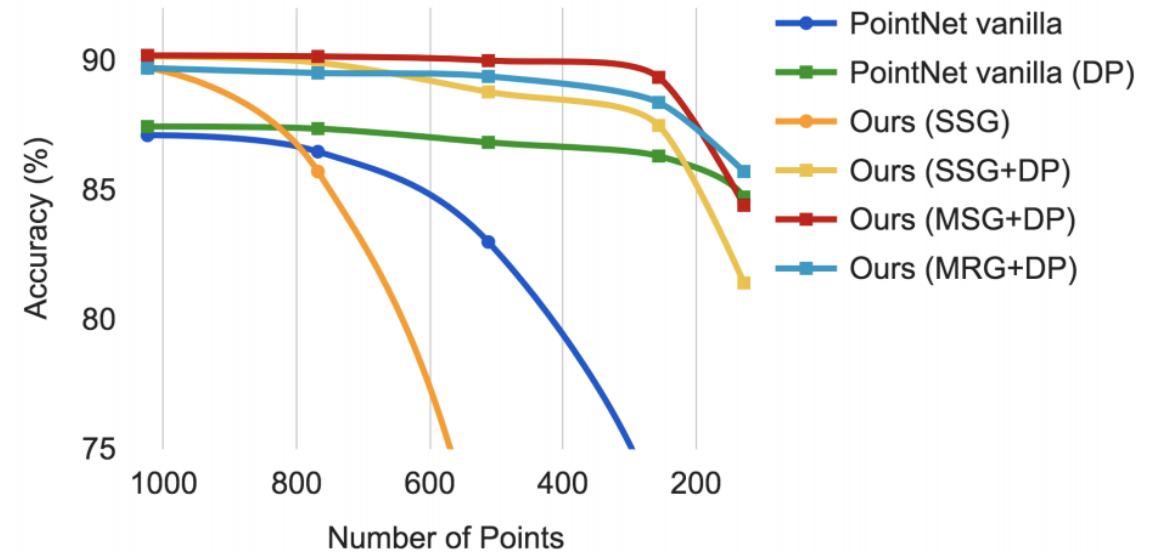
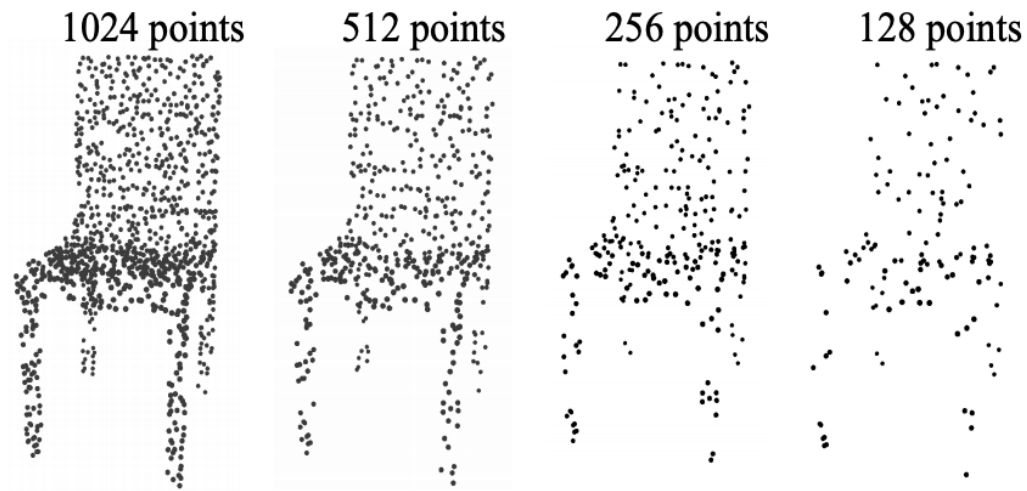
Results: ModelNet40 classification

| Method | Input | Accuracy (%) |
|-------------------------|-------|--------------|
| Subvolume [21] | vox | 89.2 |
| MVCNN [26] | img | 90.1 |
| PointNet (vanilla) [20] | pc | 87.2 |
| PointNet [20] | pc | 89.2 |
| Ours | pc | 90.7 |
| Ours (with normal) | pc | 91.9 |

Table 2: ModelNet40 shape classification.

Note: "Ours (with normal)" takes normal at each point as input, also uses additional points (5000 instead of 1024)

Results: Robustness to density



"DP" = random input dropout during training.

"SSG" = single scale grouping, "MSG" = multi scale grouping,

"MRG" = multi resolution grouping

Contribution

- Proposed a new Hierarchical PointNet architecture
- Beats vanilla PointNet by a large margin in 2D and 3D tests
- Matches or beats "mature CNN" architectures
- Greatly improved robustness to density with adaptive grouping

Comparison

| | PointNet | PointNet++ |
|---------------------------------------|-------------------------|--|
| Information aggregation? | Single Max Pool layer | Hierarchical "set abstraction layers" |
| Density adaptive? | N | Y |
| Adding global info to point features? | Appends global to local | Distance-based interpolation |