

# FeUdal Networks for Hierarchical Reinforcement Learning

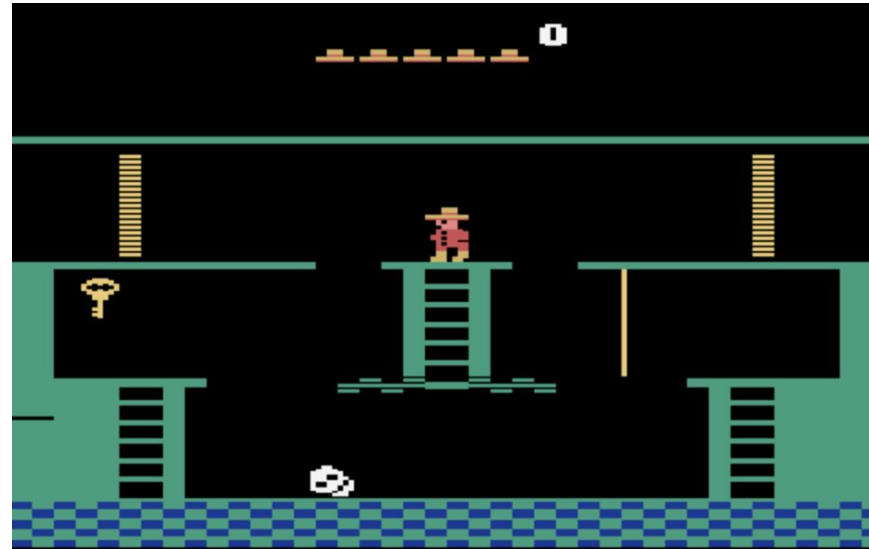
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Topic: Hierarchical RL

Presenter: Théophile Gaudin

# Why Hierarchical RL?

- RL is hard
  - Sparse reward
  - Long time-horizon



[https://www.retrogames.cz/play\\_124-Atari2600.php?language=EN](https://www.retrogames.cz/play_124-Atari2600.php?language=EN)

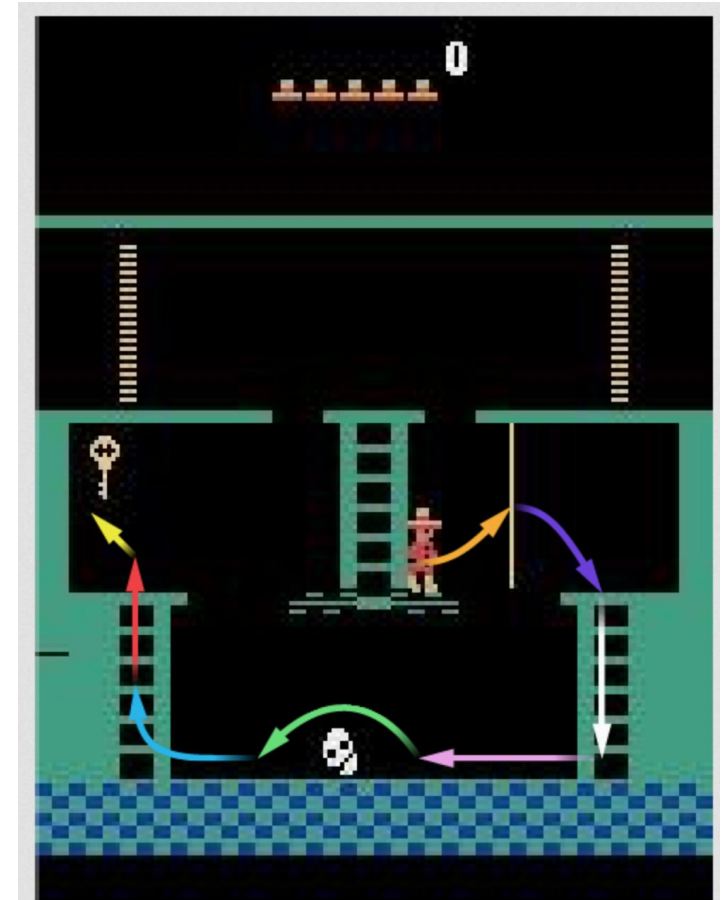
- More “human-like” approach to decision making

# Human-like decision making

When we type on a computer keyboard, we just think **about the words we want to write**. We don't think about **each our fingers and muscles individually**.

We make hierarchical abstractions

Could this work for RL too?



# Feudalism?

Governance system in Europe between 9-15th centuries

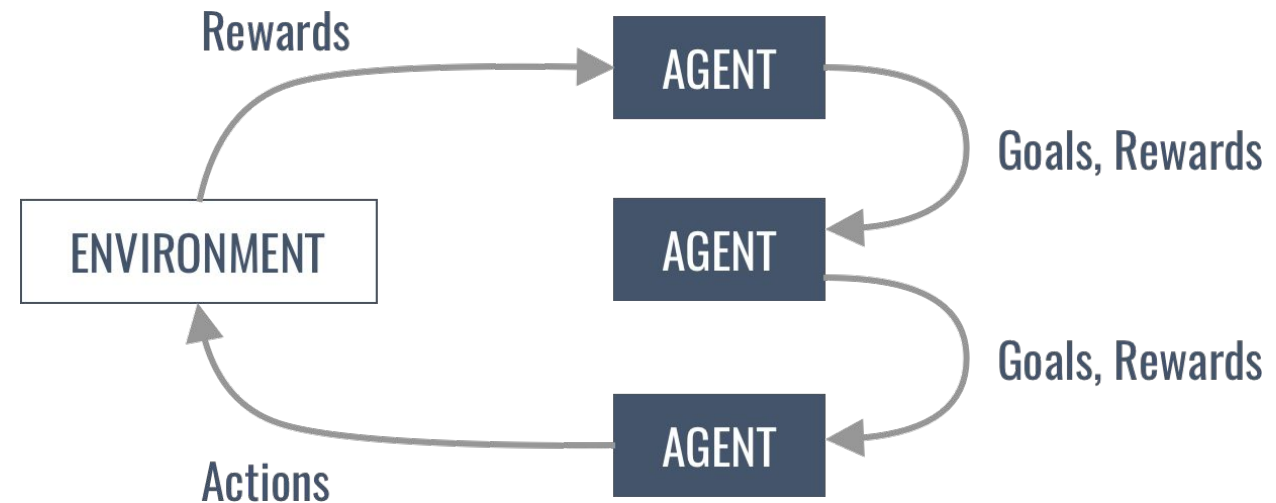
Top-down “management”



<https://en.wikipedia.org/wiki/Feudalism>

# Feudal Reinforcement Learning (Dayan & Hinton 93')

- Only top Manager sees the environment reward
- Managers rewards and set goals for level below
- Managers are not aware of what happens at other level



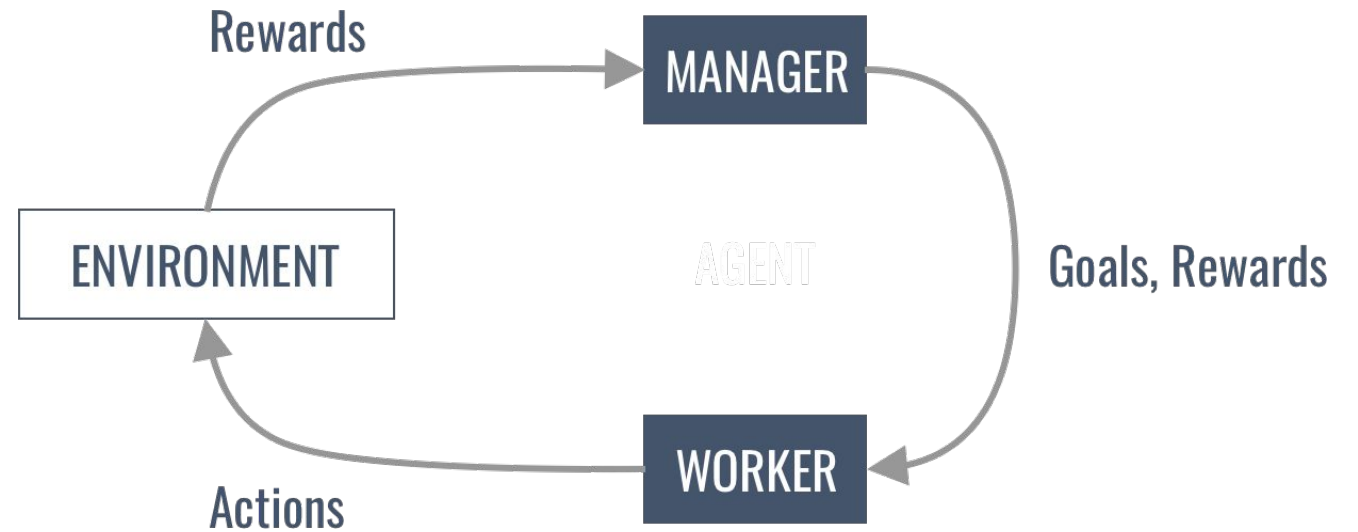
# FeUdal Networks

## Manager

- Lower temporal resolution
- Sets **directional** goals
- Rewarded by env.

## Worker

- Higher temporal resolution
- Rewarded by the Manager
- Produces actions in env.



**No gradient are propagated between the Manager and the Worker**



# Directional vs Absolute Goals

An absolute goal would be **to reach** a particular state

Ex: you have an address to reach

A direction goal would be **to go towards** a particular state

Ex: you have a direction to follow

# Model Architecture Details

$$z_t = f^{\text{percept}}(x_t)$$

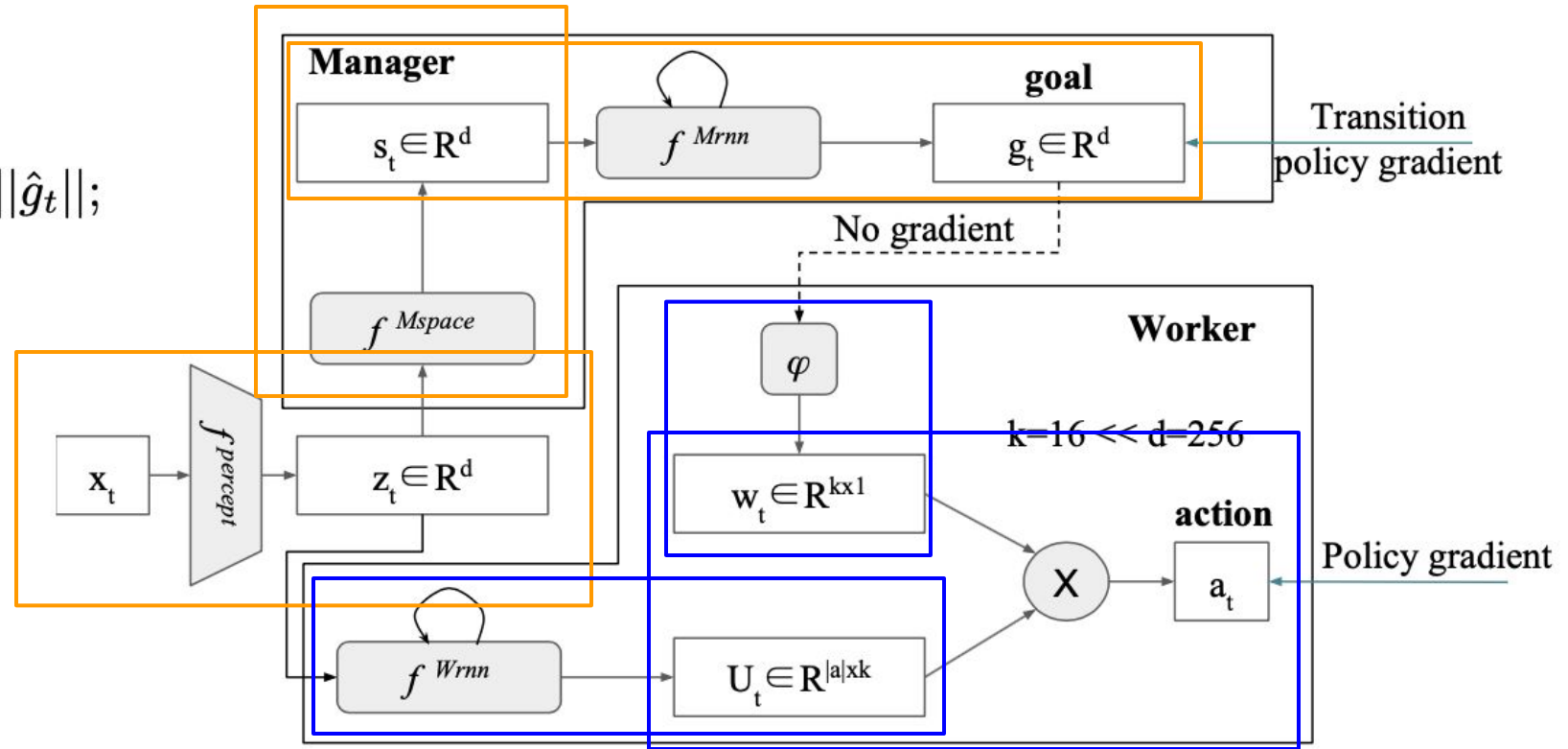
$$s_t = f^{\text{Mspace}}(z_t)$$

$$h_t^M, \hat{g}_t = f^{\text{Mrnn}}(s_t, h_{t-1}^M); g_t = \hat{g}_t / \|\hat{g}_t\|;$$

$$w_t = \phi\left(\sum_{i=t-c}^t g_i\right)$$

$$h_t^W, U_t = f^{\text{Wrnn}}(z_t, h_{t-1}^W)$$

$$\pi_t = \text{SoftMax}(U_t w_t)$$





# How to train this model?

- Could use TD-learning but then  $g_t$  would not have any semantic meaning
- Approximate transition policy gradient

## Manager

$$\nabla g_t = A_t^M \nabla_{\theta} d_{\cos}(\underbrace{s_{t+c} - s_t}_{\text{Direction in the latent space}}, g_t(\theta)),$$

Direction in the latent space

$$\text{where } A_t^M = R_t - V_t^M(x_t, \theta)$$

## Worker

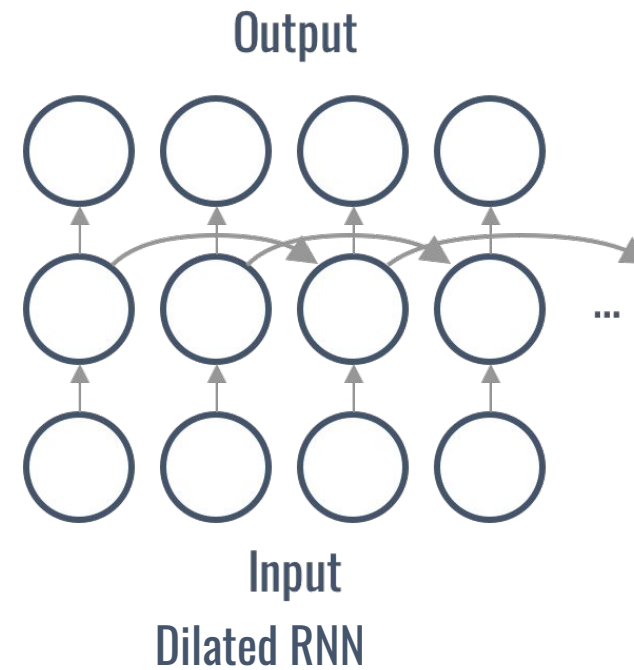
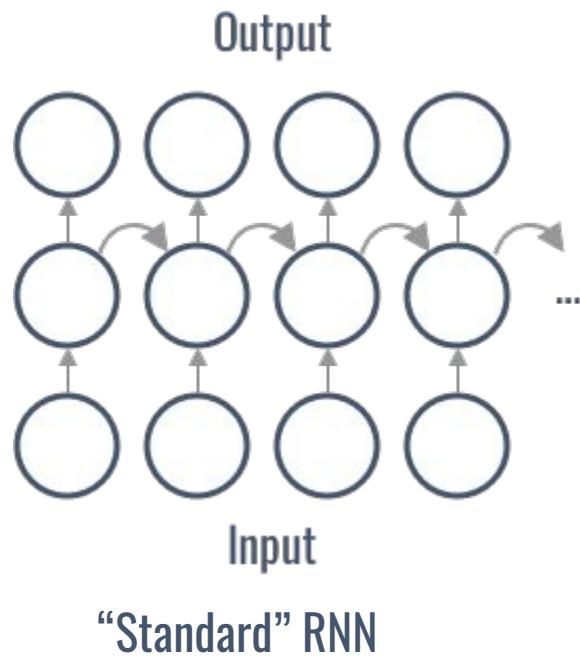
$$r_t^I = 1/c \sum_{i=1}^c d_{\cos}(s_t - s_{t-i}, g_{t-i})$$

$$\nabla \pi_t = A_t^D \nabla_{\theta} \log \pi(a_t | x_t; \theta)$$

$$A_t^D = (R_t + \alpha R_t^I - V_t^D(x_t; \theta))$$

# Manager RNN: Dilated LSTM

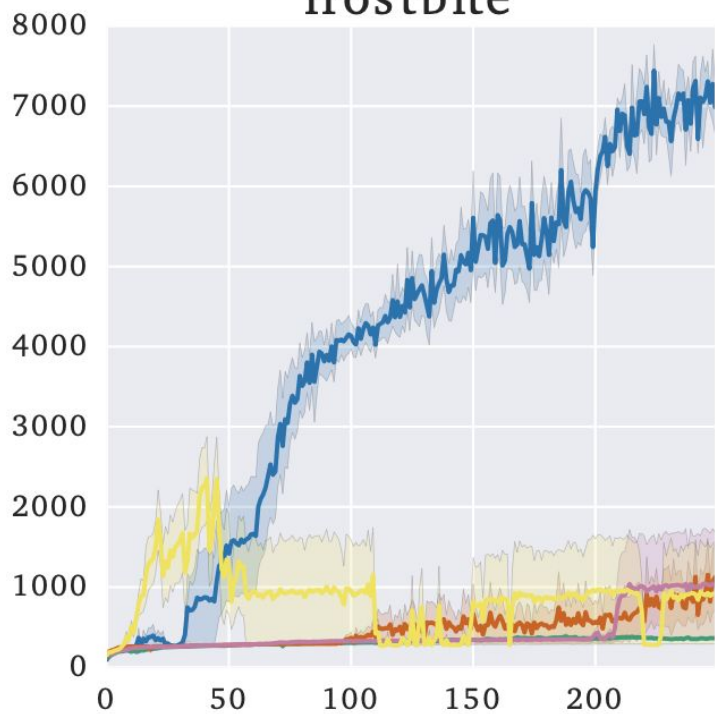
- Memories over longer periods
- Outputs are summed over  $c$  steps
- Performs better



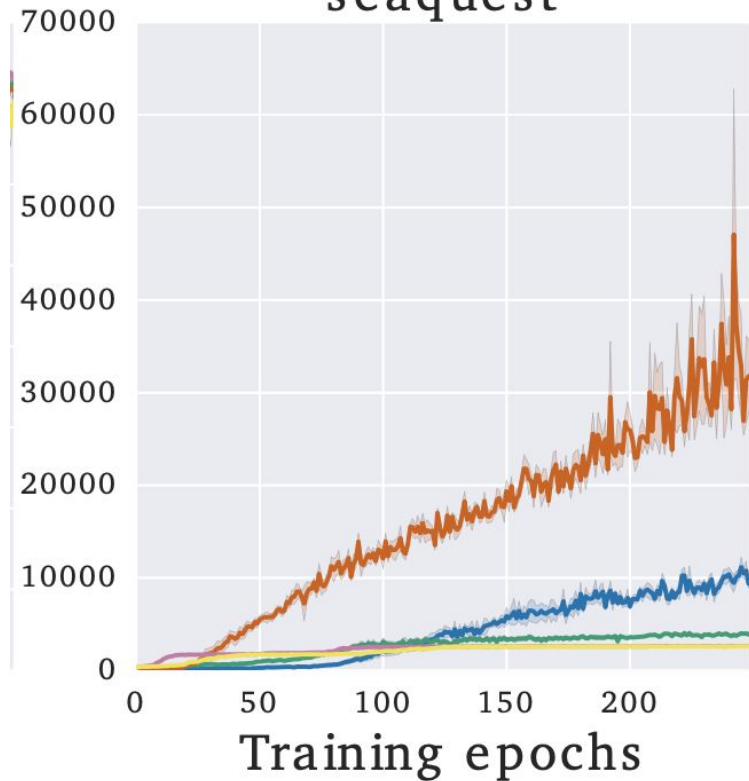
# Results on Atari games

- FuN, 0.95
- FuN, 0.99
- LSTM, 0.95
- LSTM, 0.99
- LSTM, 0.99, BPTT=100

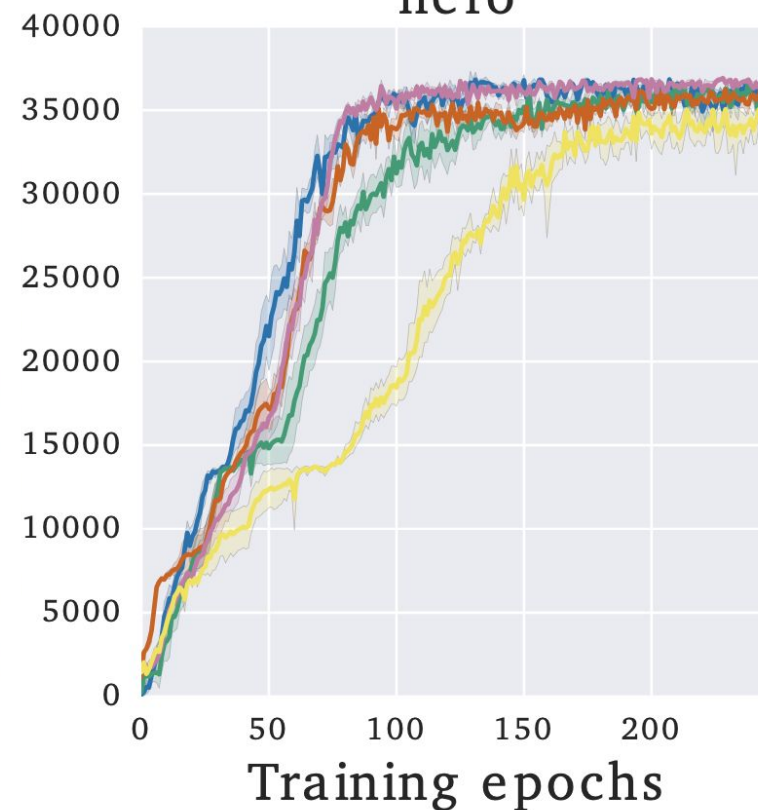
frostbite



seaquest



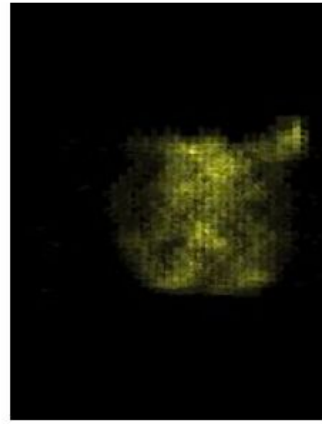
hero



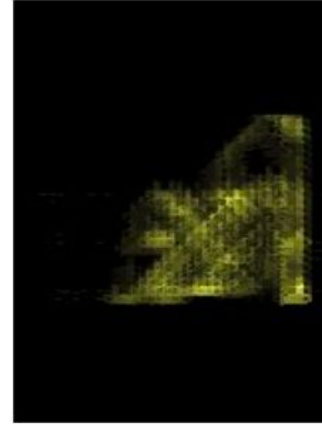
# Sub-policies inspection



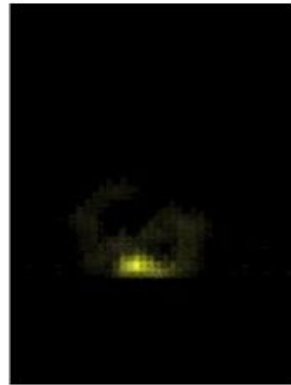
Example frame



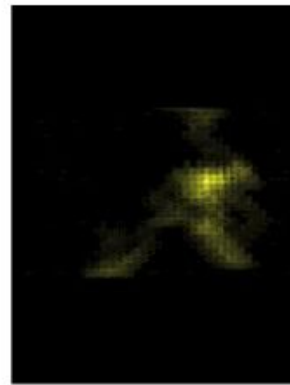
LSTM



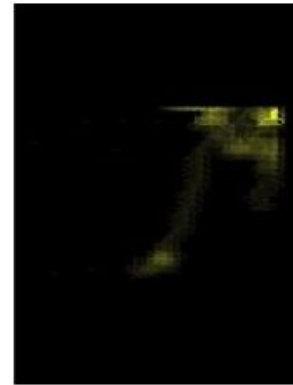
Full FuN



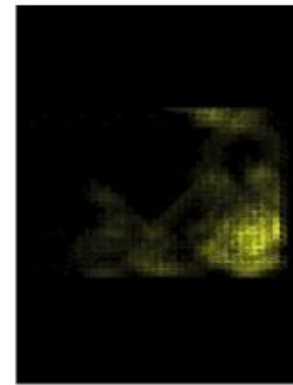
sub-policy 1



sub-policy 2

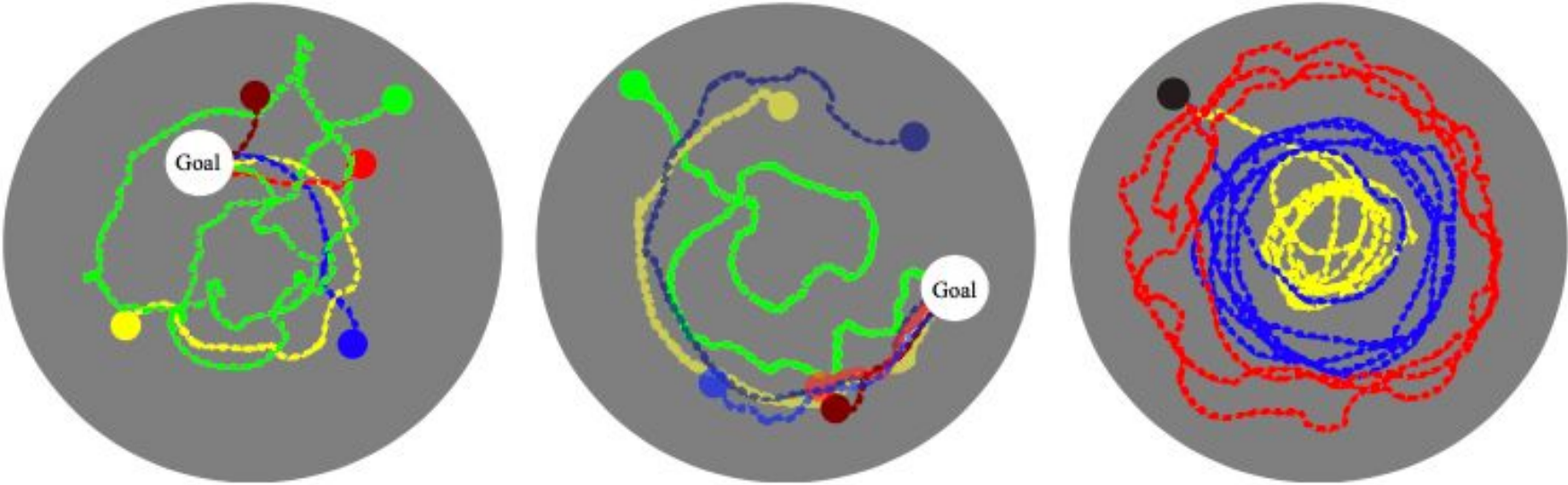


sub-policy 3



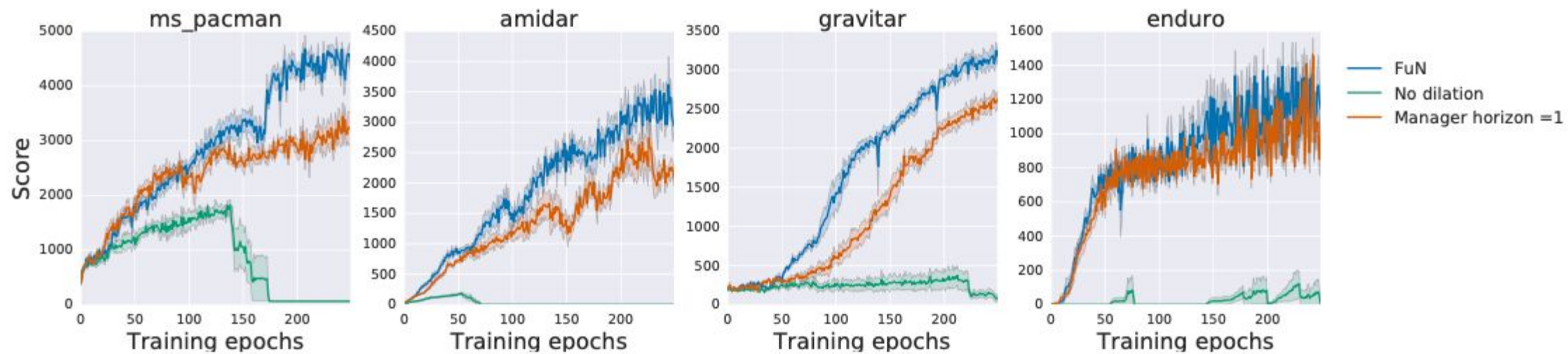
sub-policy 4

# Sub-policies inspection



(b)

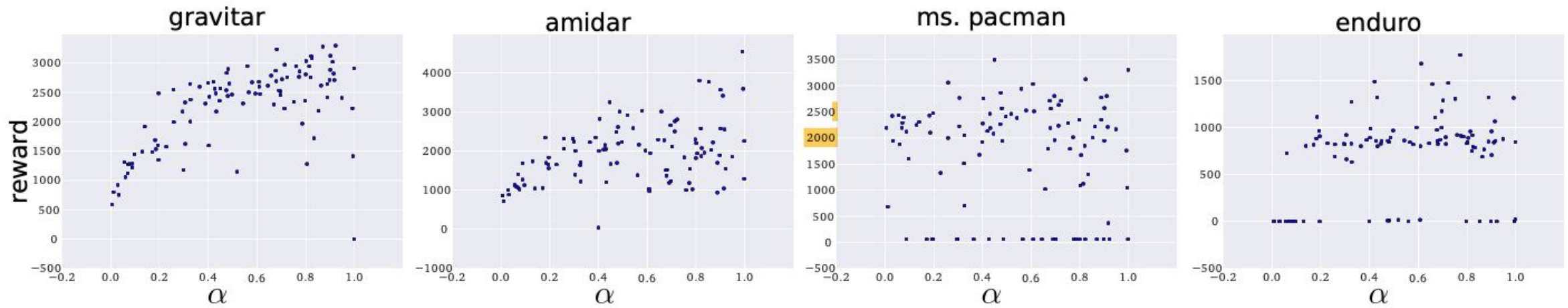
# Is the Dilated LSTM important?





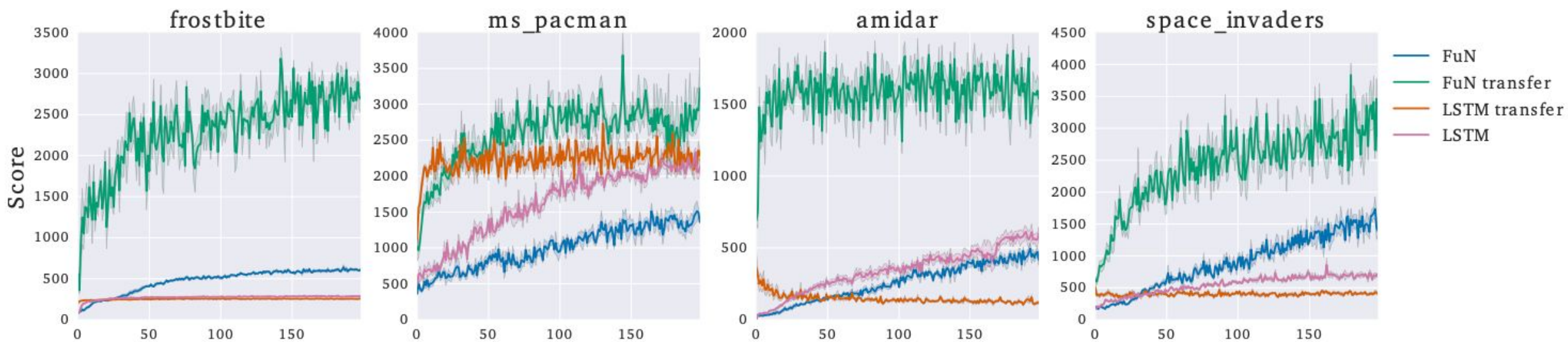
# Influence of $\alpha$

$$R_t + \alpha R_t^I$$

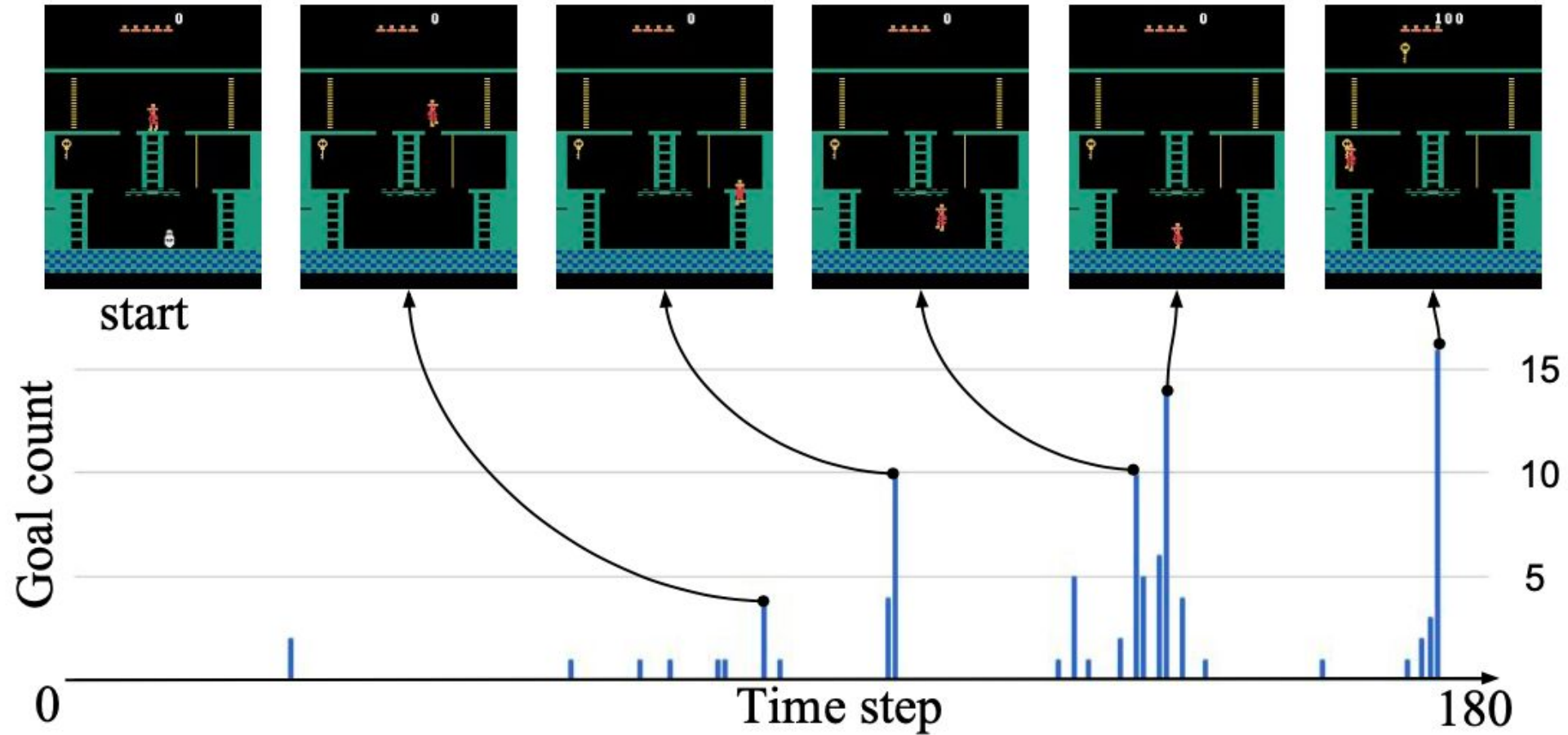


# Transfer Learning

- They changed the number of action repeat



# Did it solve Montezuma's Revenge?



# Sum up of the results

- Using directional goals works well
- Better long-term credit assignment
- Better transfer learning
- Manager's goals corresponds to **different sub-policies**
- Dilated LSTM is essential for good performance
- Meticulous ablation studies - proving their points with evidence (vs claiming SOTA)

# FeUdal Network vs Options Framework

- Only one Worker vs many options
  - Memory efficient
  - Cheaper computationally
- Meaningful goals producing different sub-policies
- “Standard” MDP

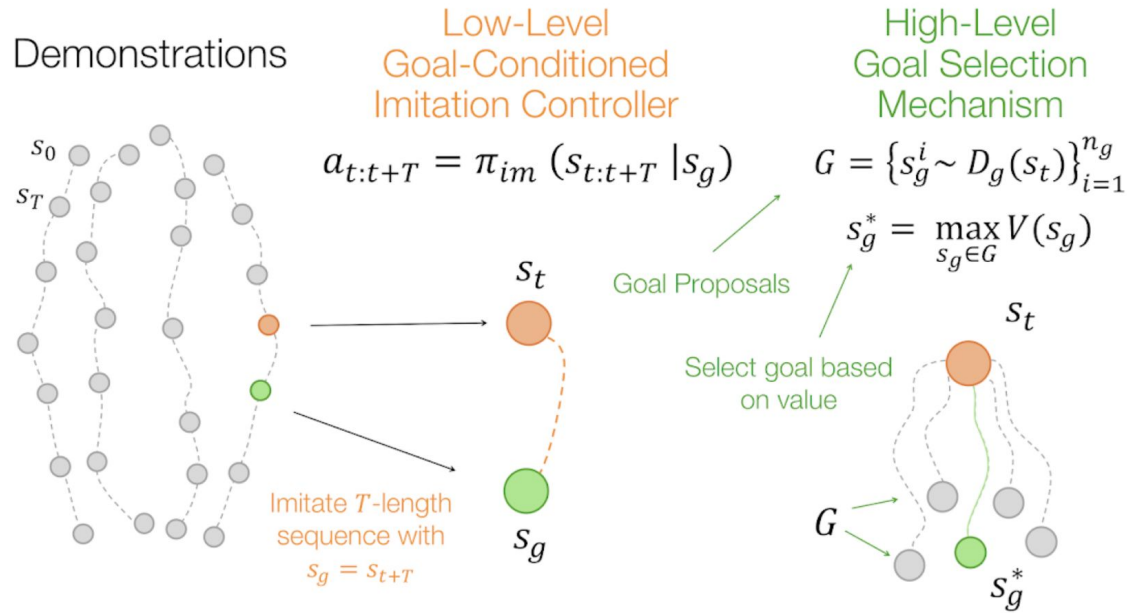
# Contributions (recap)

- Differentiable model that implements Feudal RL
- *Approximate transition policy gradient* for training the Manager
- Directional goals instead of absolute
- Dilated LSTM

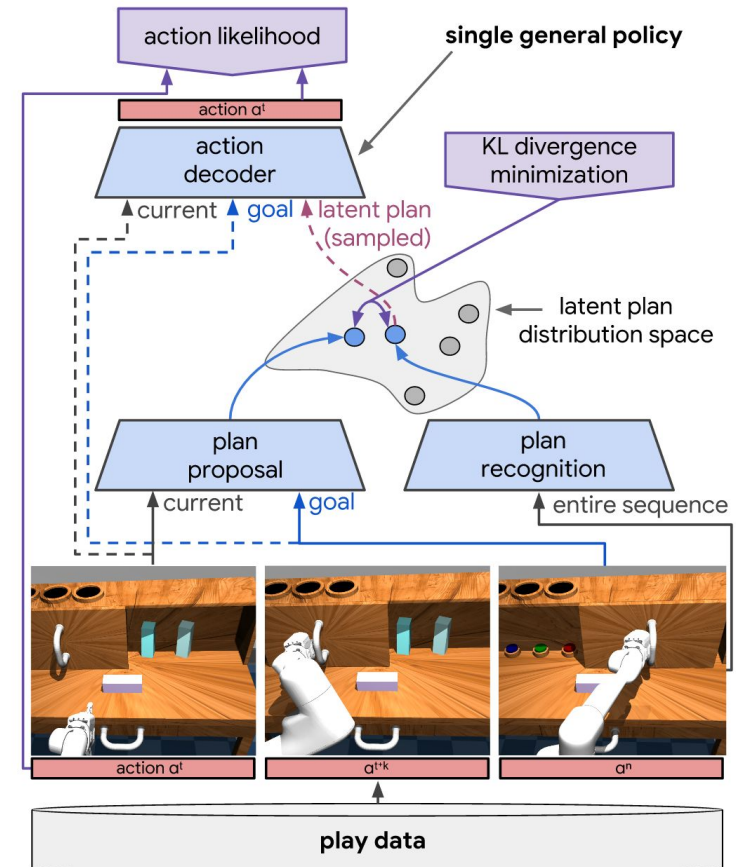


# Has this method inspired others?

## IRIS: Implicit Reinforcement without Interaction at Scale



<https://sites.google.com/stanford.edu/iris/>



Learning Latent Plans from Play  
<https://learning-from-play.github.io/>

# Open challenges

- Montezuma's revenge remains a challenge
- Maybe using deeper hierarchy and different time scale?
- Transfer learning from an environment to another?